

1. Services (as listed in section V of Code)	2. ECSDA proposed sub-sections to ease completion conversion table	3. Complete which tariff sections/captions refer to which service (one caption per row - insert as many rows as required)	4. Reference to tariff brochure - (page or paragraph number)	5. Fees charged to (issuer or its agent, intermediary, end investor, other)	6. Service description (in summary or reference to existing documents)
Account provision & Asset Servicing	Opening and maintenance of securities accounts	Art.II.5 - Members of CDCP; Art. II.7 - Administration of securities accounts	Art. II, items 5.2; 5.3; 5.4; 5.5; 7.1; 7.2; 7.4; and 7.5	Art. II.5;II.7-intermediary; Art. II.7 - end-investor	Art.II.5 and II.7 -opening and maintenance of different types of accounts for CDCP members and non-members; Art. II.7 - opening and administration of owner´s account for end-investor at CSD level
	Closing the securities accounts	free			
	CSD membership	Members of CDCP	Art. II.5, item 5.4;	intermediary	fee for granting the membership
	Asset servicing dematerialised securities	service not provided			
	Asset servicing physical/immobilised securities	service not provided			
	Proxy voting	service not provided			
	Withholding tax services	not included in fee schedule - price agreed bilaterally		issuer	service not requested by issuers, but included in depository´s service portfolio
	Other - Services associated with registered physical shares	Registered shares in paper form	Art. II.3	issuer, other	fee for admission of the list of shareholders to the CSD registration, change of the shareholder and for related services; related services can be charged also to persons other than the issuer;
	Other - Central paying agent	not included in fee schedule - price agreed bilaterally		issuer	service not requested by issuers, but included in depository´s service portfolio
Establishing securities in book-entry form	Issuance of dematerialised securities	Issuer´s register for book-entry securities	Art. II.2, items 2.2; 2.3; 2.4;	issuer	registration of book-entry securities issue and discounts on bonds, T-bills issues and on equities issues
	Redemption/cancellation dematerialised securities	Issuer´s register for book-entry securities	Art. II.2; items 2.14; 2.5	issuer	cancellation of book-entry securities issue
	Deposit physical securities in book-entry form (immobilisation)	service not provided			
	Withdrawal physical securities from book-entry system	service not provided			
	Other - Services for immobilised securities	Issuer´s register for book-entry securities	Art. II.2	issuer	fees for dematerialised securities under Art.III are applicable also to immobilised securities
	Other - Services related to administration of book-entry securities issue	Issuer´s register for book-entry securities	Art. II.2	issuer	other services related to administration of securities issue - e.g. change of requisits of securities issue, statement of issuer´s registry, etc.)
Clearing and Settlement (including verification)	Verification (matching)	Transfers and transitions of securities	Art. II.6, item 6.1; 6.2; 6.5	Art. II item 6.5: intermediary; Art.VII item 6.1, 6.2: end-investor	fee for placing a half-instruction paid by both counterparties;
	Settlement netting	not charged separately for			
	Clearing and settlement for OTC transactions DVP	Transfers and transitions of securities	Art. II.6, item 6.6; 6.7; 6.9 and 6.10	intermediary	fee for DVP settlement is split to fee for securities transfer (item 6.6; 6.9) and fee for securities clearing and financial settlement (item 6.10);
	Clearing and settlement for OTC transactions FOP	Transfers and transitions of securities	Art. II.6, item 6.6; 6.7; 6.9 or items 6.1; 6.2	item 6.6; 6.7; 6.9: intermediary 6.1; 6.2: end-investor item	fee for FOP settlement is a fee for securities transfer payable by CSD participants (item 6.6; 6.7, 6.9) or by end-investor (item 6.1; 6.2);
	Clearing and settlement for Stock Exchange transactions DVP	Transfers and transitions of securities	Art. II.6, items 6.6; 6.7 and 6.9	stock exchange	fee is split to fee for securities transfer (item 6.6; 6.7) and fee for clearing and financial settlement (item 6.9);
	Clearing and settlement for Stock Exchange transactions FOP	Transfers and transitions of securities	Art. II.6, item 6.6; 6.7 and 6.9	stock exchange	
	Own account transfers	Transfers and transitions of securities	Art. II.6 item 6.6; 6.7	intermediary	
	Other - Transition of securities	Transfers and transitions of securities	Art. II.6, item 6.13	intermediary, end-investor	transfer of inherited securities, transfer of securities based on decision of other state body etc.
Securities Lending and Borrowing	Fail related SLB	service not provided			
	Other	n/a			
Collateral management	Collateral management related to collateralisation of central bank liquidity	service not provided			
	Other	n/a			
	Management of guarantee funds	service not provided			
Credit provision	service not provided	n/a			
Communication (note: not in Code)	Technical communication set-up and maintenance	Members of CDCP	Art. II.5, item 5.5	intermediary	fee for installation of systems and service works charged to clients if performed on site in foreign country
Other	Services of the National Numbering Agency	National Numbering Agency	Art. II.1	issuer	Assigning, deletion and modification of ISIN
	Registration of pledges	Register of pledges and registration of fiduciary transfers	Art. II.8	depending on instructing person	Registration of pledge in the register of pledges and related services
	Registration of fiduciary transfers	Register of pledges and registration of fiduciary transfers	Art. II.8	depending on instructing person	Registration of fiduciary transfer in special registration and related services
	Miscellaneous	Various	Art. II.9; II.10	depending on type of service	miscellaneous services e.g. performance of corrections in the database, provision of information from the database, complaints handling, information provided from archived documents, etc.
	Information duties in compliance with the Act on Securities and Investment Services	Various / Information duties and classified information	Art. II.8 items 8.17; 8.18; Art II.9; II.10	depending on type of service	Information provided to entities pursuant to the Act and other services