# FORM F8

# PRÍKAZ NA REGISTRÁCIU PRESUNU ZAKNIHOVANÝCH CENNÝCH PAPIEROV

# *(INSTRUCTION FOR REGISTRATION OF MOVEMENT OF BOOK-ENTRY SECURITIES)*

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| **Túto tabuľku vyplňuje CDCP po poskytnutí služby**  ***(CDCP shall fill in this table after provision of the service)*** | |
| *Kód služby* | *SESE.023* |
| *Pagina* |  |
| *Dátum spracovania* |  |
| *Registračné číslo subjektu – majiteľ* |  |

# ÚDAJE KLIENTA *(CLIENT DATA)*

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| 1. **Identifikačné údaje majiteľa účtu *(Identification data on account owner)*** | |
| Obchodné meno / Titul, Meno a priezvisko *(Registered name / Title, name and Surname)* | Kliknutím zadáte text. |
| Sídlo / adresa trvalého pobytu *(Registered seat/permanent address)*[[1]](#endnote-1) | Kliknutím zadáte text. |
| IČO/ZIČ/NIČ/rodné číslo  *(Company ID/Foreign company ID/NIČ/Birth registration number)* | Kliknutím zadáte text. |
| DIČ *(Tax ID)* [[2]](#endnote-2) | Kliknutím zadáte text. |
| IČ DPH *(VAT ID)* | Kliknutím zadáte text. |

# ADDITIONAL DATA

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| 1. **Identifikácia účtu, NA ŤARCHU ktorého sa presun cenných papierov registruje**   ***(Identification of an account that is DEBITED by registration of securities movement)*** | |
| Číslo účtu *(Account number)* | Kliknutím zadáte text. |
| IČO/ZIČ/NIČ/rodné číslo osoby, pre ktorú je účet vedený  *(Company ID/FIN/NIČ/Birth No. of person for which acc. is administered)* | Kliknutím zadáte text. |
| Názov a BIC kód subjektu, u ktorého je účet vedený *(Name and BIC of entity that is administering the account)*[[3]](#endnote-3) | Kliknutím zadáte text. |
| Názov a BIC kód subjektu, ktorý vykonáva zápisy na účte  *(Name and BIC of entity that is making entries in the account)* | Kliknutím zadáte text. |

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| 1. **Identifikácia účtu, V PROSPECH ktorého sa presun cenných papierov registruje**   ***(Identification of an account that is CREDITED by registration of securities movement)*** | |
| Číslo účtu *(Account number)* | Kliknutím zadáte text. |
| IČO/ZIČ/NIČ/rodné číslo osoby, pre ktorú je účet vedený  *(Company ID/FIN/NIČ/Birth No. of person for which acc. is administered)* | Kliknutím zadáte text. |
| Názov a BIC kód subjektu, u ktorého je účet vedený *(Name and BIC of entity that is administering the account)*[[4]](#endnote-4) | Kliknutím zadáte text. |
| Názov a BIC kód subjektu, ktorý vykonáva zápisy na účte  *(Name and BIC of entity that is making entries in the account)* | Kliknutím zadáte text. |

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| 1. **Špecifikácia cenných papierov, ktoré sú predmetom presunu**   ***(Specification of securities that are subject to movement)*** | |
| ISIN | Kliknutím zadáte text. |
| Počet kusov/objem  *(Number of units/value)* | Kliknutím zadáte text. |
| Prevoditeľnosť cenných papierov *(Transferability of securities)* | RSI0 - prevoditeľnosť bez obmedzenia  *(transferable without restrictions)*  RSI1 - prevod iba so súhlasom emitenta  *(transfer only with consent of the issuer)*  RSI2 - prechod iba so súhlasom emitenta  *(transition only with consent of the issuer)*  RSI3 - prevod a prechod iba so súhlasom emitenta  *(transfer and transition only with consent of the issuer)*  RSI4 - obmedzenie prevoditeľnosti emitentom bez kontroly CD  *(transferability restricted by the issuer w/o check by CSD)*  RSI5 - vylúčená prevoditeľnosť  *(transferability excluded)*  RSI6 - neprevoditeľnosť s povolením prechodu  *(transferability excluded except transition)* |

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| 1. **Doplňujúce údaje o presune cenných papierov *(Additional data on securities transition)*** | |
| Právny dôvod  *(Legal reason)*[[5]](#endnote-5) | ☒ T008 – presun cenných papierov bez zmeny majiteľa  *(movement of securities without change of owner)* |
| Identifikácia záložného práva na predmetných cenných papieroch *(Identification of pledge to securities in question)* | ☐ presúvané cenné papiere nie sú predmetom záložného práva  *(moved securities are not subject of pledge)*  ☐ číslo záložného práva *(No. of pledge)*: Kliknutím zadáte text. |
| Identifikácia zabezpeč. prevodu na predmetných cenných papieroch  *(Identification of transfer as collateral to securities in question)* | ☐ presúvané cenné papiere nie sú predmetom zabezpeč. prevodu  *(moved securities are not subject of transfer as collateral)*  ☐ číslo zabezpečovacieho prevodu  *(No. of transfer as collateral)*: Kliknutím zadáte text. |
| Dátum obchodu *(Trade date)*[[6]](#endnote-6) | Kliknutím zadáte text. |
| Dátum vyrovnania *(Settlement date)*[[7]](#endnote-7) | Kliknutím zadáte text. |

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| 1. **Spôsob prevzatia výstupu služby *(Method of collection of service output)*** | |
| V prípade osobného doručenia príkazu na prevádzkové pracovisko CDCP  *(In the case of personal delivery of the instrustion to the CDCP operating site)*: | CDCP na počkanie požadovanú službu spracuje a výstup služby odovzdá klientovi osobne.  *(CDCP will pocess the requested service and hand the service output over to the client in person.)* |
| V prípade doručenia príkazu poštou alebo osobne do podateľne CDCP  *(In the case of delivery of the instruction by post or in person to the filling office of the CDCP)* | CDCP požadovanú službu spracuje a výstup služby odošle klientovi poštou.  *(The CDCP will process the requested service and the service output will be sent to the client by post.)* |

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| 1. **Priložené doklady *(Attached document)*** | |
| Počet priložených dokladov  *(Qty. of attached documents)* | Kliknutím zadáte text. |
| Zoznam priložených dokladov  *(List of attached documents)* | Kliknutím zadáte text. |

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| 1. **Poznámky (napr. spoločná identifikácia nespárovaných príkazov)**   ***(Notes (e.g. common identification of unmatched instructions))*** |
| Kliknutím zadáte text. |

# VYHLÁSENIA A SÚHLASY KLIENTA *(STATEMENTS AND COSENTS OF THE CLIENT)*

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| * Klient zodpovedá za údaje a obsah tohto formulára.   *(The client is responsible for data and content of this form.)*   * Klient týmto vyhlasuje a zároveň zodpovedá za to, že údaje uvedené v príkaze sú úplné, pravdivé a správne.   *(The client hereby declares, and is also responsible, that the data is complete, true and correct.)*   * Klient, ktorý žiada o poskytnutie služieb na základe tohto príkazu je CDCP povinný poskytnúť všetky nevyhnutné doklady a informácie potrebné pre poskytnutie týchto služieb, ako aj všetky potrebné doklady a informácie za účelom posúdenia rizík.   *(The client requesting for provision of services based on this instruction is obliged to provide CDCP with all necessary documents and information required for provision of the service, and also all documents and information necessary to assess the risk.)*   * V prípade pochybností je rozhodné slovenské znenie textu tohto formulára.   *(The Slovak wording of this form is prevailing in case there should be any doubts.)* |

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| Centrálny depozitár cenných papierov SR, a.s. processes your personal data in accordance with REGULATION (EU) 2016/679 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) and Act. No 18/2018 Coll. on the protection of personal data and on amendments and supplements to certain acts. For more information about the processing your personal data find out on the web page CDCP in Section Processing the personal dat**a*: https://www.cdcp.sk/en/personal-data-security/***. |

**Dátum *(Date)*** Kliknutím zadáte text. **V Bratislave, dňa**

**Signature of the client pečiatka CDCP a podpis zamestnanca CDCP**

***Full name:*** *Kliknutím zadáte text.* ***(CDCP Stamp and signature of CDCP employee)***

***Telephone/email:*** *Kliknutím zadáte text.*

**Klient týmto potvrdzuje prevzatie výstupu požadovanej služby v sídle CDCP dňa**

***(The client confirms takeover of service output in the seat of CDCP on)***

**Signature of the client**

***INFORMATION FOR THE CLIENT:***

*Placement of the instruction is governed by the Rules of Operation of Centrálny depozitár cenných papierov SR, a.s. (further only „the Rules of Operation“).*

***Placement of the instruction:***

*The instruction for registration of movement may be placed in person in the seat of CDCP or sent via post to CDCP registered address, unless the Rules of Operation states differently. In the instruction the client shall state current data already registered in the Companies Registry.*

***If the instruction is sent via post to the registered address of CDCP respectively delivered in person to the mail room of CDCP the signature of client (persons authorised to act on behalf of client) must be officially verified.***

***All enclosed documents must be original or certified copy. Enclosures to the instruction are primarily:***

*extract from the Companies s register (not older than 3 month) if the client is a legal person*

*Other document pursuant to the Rules of Operation of CDCP.*

***Acting of authorised/accredited person:***

*If the instruction is placed by an authorised/accredited person, to demonstrate authorisation to act it is necessary to meet specific requirements defined in the Rules of Operation of Centrálny depozitár cenných papierov SR, a.s. Required is mainly: In case of natural person it is necessary to submit a Power of Attorney with verified signatures of relevant representatives of the statutory body (which is in time sequence with regard to submitted extract from the Companies register as the primary document). In case the empowered person is a legal person, it is necessary to submit also an extract from the Companies register for the empowered person, which has equal attributes as applicable to the client. Further details are specified in the Rules of Operation of Centrálny depozitár cenných papierov SR, a.s.*

*In case the client is foreign entity, it is necessary to submit documents evidencing its existence and identification in scope applicable to an entity from SR, including relevant verification clauses (according to international agreements, Hague Convention, super-legalisation procedures) and translations of the documents and verification clauses to the Slovak language.*

**Explanatory:**

1. Fill in the address – street, building number, postal code, town, country. [↑](#endnote-ref-1)
2. Tax ID assigned by relevant authority in a country of tax residence. [↑](#endnote-ref-2)
3. Fill in BIC code of entity (CDCP/member/other CSD), in which registry is the account administered. [↑](#endnote-ref-3)
4. Fill in BIC code of entity (CDCP/member/other CSD), in which registry is the account administered. [↑](#endnote-ref-4)
5. Select one legal reason for transition. [↑](#endnote-ref-5)
6. Date of trade can’t be

   later than settlement date

   later than date of service provision

   Saturday or Sunday

   If stated date is not valid or date is not stated at all, CDCP shall regard date of service provision for trade date. [↑](#endnote-ref-6)
7. Fill in date when securities movement should be executed. Settlement date can’t be Saturday or Sunday. If stated date is not valid or date is not stated at all, CDCP shall regard date of service provision for trade date. [↑](#endnote-ref-7)