



**CENTRÁLNY DEPOZITÁR CENNÝCH PAPIEROV SR, a.s.**

**ROČNÁ ŠTATISTIKA**

**Annual Statistics**

**Rok 2020 / Year 2020**

OBJEM A POČET PREVODOV SPOLU		
Value and Number of Transfers Total	2020	2019
POČET PREVÁDZKOVÝCH DNÍ/Number of Operation days	258	256
CELKOVÝ OBJEM V EUR /Total Value in EUR	63 831 982 026	34 486 297 660
PRIEMERNÝ DENNÝ OBJEM V EUR / Average Daily Value in EUR	247 410 783	134 712 100
POČET PREVODOV / Number of Transfers	15 141	31 494
PRIEMERNÝ DENNÝ POČET PREVODOV / Average Daily Number of Transfers	59	123

OBJEM A POČET PREVODOV S FINANČNÝM VYROVNANÍM		
Value and Number of DvP Transfers	2020	2019
CELKOVÝ OBJEM V EUR - Trhová hodnota /Total Value in EUR - Market Value	22 850 834 327	8 183 832 325
PRIEMERNÝ DENNÝ OBJEM V EUR /Average Daily Value in EUR	88 569 125	31 968 095
POČET PREVODOV /Number of Transfers	8 542	9 020
PRIEMERNÝ DENNÝ POČET PREVODOV /Average Daily Number of Transfers	33	35

OBJEM A POČET PREVODOV BEZ FINANČNÉHO VYROVNANIA		
Value and Number of FoP Transfers	2020	2019
CELKOVÝ OBJEM V EUR - Nominálna hodnota Total Value in EUR - Nominal Value	40 981 147 700	26 302 465 335
PRIEMERNÝ DENNÝ OBJEM V EUR/Average Daily Value in EUR	158 841 658	102 744 005
POČET PREVODOV/Number of Transfers	6 599	22 474
PRIEMERNÝ DENNÝ POČET PREVODOV/Average Daily Number of Transfers	26	88

OBJEM ZAKNIHOVANÝCH CP V MENOVITEJ HODNOTE - STAV KU KONCU ROKA			
Nominal Value of Book-entry Securities - as of End of the Year			
	2020	2019	2018
OBJEM SPOLU V EUR /Value Total in EUR	94 691 474 704	85 354 330 405	82 700 325 102
AKCIE /Shares	36 954 531 596	36 923 414 551	37 079 139 415
z toho: nekonvertované akcie / out of that: non-converted shares*	1 477 268 105	1 623 816 236	1 779 398 457
DLHOPISY /Bonds	55 195 314 142	48 038 931 173	44 245 679 156
PODIELOVÉ LISTY /Units	3 349 864	3 349 864	3 349 864
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	86 384 873	87 248 944	93 210 376
INÉ /Others	2 451 894 229	301 385 873	1 278 946 291

\* emisie v menovitej hodnote v SKK prepočítané pre informáciu konverzným kurzom / issues in SKK converted to EUR for information purpose at a conversion rate

OBJEM A POČET PREVODOV SPOLU - 2020						
Value and Number of Transfers - Total in 2020						
	PREVODY DvP - TRHOVÁ HODNOTA		PREVODY FoP - NOMINÁLNA HODNOTA		PREVODY SPOLU	
	DvP Transfers - Market Value		FoP Transfers - Nominal Value		Transfers Total	
	OBJEM V EUR	POČET	OBJEM V EUR	POČET	OBJEM V EUR	POČET
	Value in EUR	No.of Transfers	Value in EUR	No.of Transfers	Value in EUR	No.of Transfers
01.2020	943 892 943	597	1 780 039 609	639	2 723 932 552	1 236
02.2020	698 796 679	676	1 737 746 193	566	2 436 542 872	1 242
03.2020	1 527 750 330	778	4 678 023 046	549	6 205 773 376	1 327
04.2020	5 564 572 381	747	5 194 855 835	435	10 759 428 216	1 182
05.2020	7 235 894 271	937	6 845 934 271	456	14 081 828 542	1 393
06.2020	2 921 898 124	750	4 452 358 290	553	7 374 256 414	1 303
07.2020	771 885 821	660	2 456 642 472	406	3 228 528 293	1 066
08.2020	378 278 449	467	1 606 467 284	517	1 984 745 733	984
09.2020	749 393 345	797	4 142 382 304	428	4 891 775 649	1 225
10.2020	541 604 488	667	3 403 108 387	447	3 944 712 875	1 114
11.2020	512 588 938	663	1 897 288 850	364	2 409 877 788	1 027
12.2020	1 004 278 558	803	2 786 301 159	1 239	3 790 579 717	2 042
SUM	22 850 834 327	8 542	40 981 147 700	6 599	63 831 982 027	15 141

**REGISTRE EMITENTA A ZOZNAMY AKCIONÁROV**

Issuer's Registers and Lists of Shareholders

	2020	2019	2018
POČET EMITENTOV ZAKNIHOVANÝCH CP, KTORÝM CDCP VEDIE REGISTER EMITENTA /Number of Issuers for whom the CDCP administers the issuer's register	1 414	1 426	1 461
POČET EMITENTOV LISTINNÝCH AKCIÍ NA MENO, KTORÝM CDCP VEDIE ZOZNAM AKCIONÁROV /Number of Issuers of registered paper shares for whom the CDCP administers the List of Shareholders	3 702	3 681	3 652

**NOVÉ EMISIE ZAKNIHOVANÝCH CP VYDANÝCH V CDCP - MENOVIÁ HODNOTA**

New Issues of Book-entry Securities issued in CDCP - Nominal Value

	OBJEM V EUR Value in EUR	POČET EMISIÍ No. of Issues
<b>OBJEM SPOLU / Value Total</b>	<b>20 149 573 930</b>	<b>142</b>
AKCIE / Shares	192 674 290	38
DLHOPISY / Bonds	15 635 018 500	87
PODIELOVÉ LISTY / Units	0	0
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	0	0
INÉ / Others	4 321 881 140	17

**ZRUŠENÉ EMISIE ZAKNIHOVANÝCH CP VRÁTANE EMISIÍ, PRI KTORÝCH DOŠLO KU ZMENE PODOBY NA LISTINNÉ - MENOVIÁ HODNOTA**

Canceled Issues of Book-entry Securities including issues with change of form to paper securities - Face Value

	OBJEM V EUR Value in EUR	POČET EMISIÍ No. of Issues
<b>OBJEM SPOLU / Value Total</b>	<b>6 763 692 348</b>	<b>155</b>
AKCIE / Shares	166 366 076	41
DLHOPISY / Bonds	4 575 470 421	91
PODIELOVÉ LISTY / Units	0	0
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	3 949 668	7
INÉ / Others	2 017 906 183	16

**EMISIE VYMAZANÉ Z REGISTRA EMITENTA NA ZÁKLADE ÚDAJOV Z ELEKTRONICKÉHO OBCHODNÉHO REGISTRA**

Issues deleted from the issuer's registration on the basis of data from electronic companies register

	2020
POČET EMISIÍ /Number of issues	24

# COMMENTS ON ANNUAL STATISTICS 2020

## Processing of Transfer Orders

In 2020, the central depository executed DvP transfers of securities in the total market value of 22 850 millions euro. In terms of the number of instructions, the central depository processed a total of 8 542 instructions in its settlement system. The average daily value of securities settled in the CDCP system as DVP transfers reached 88.57 millions euro, and the daily number of these transfer instructions averaged at 33 instructions. Compared to a situation in the year 2019, the total value of securities transferred as DVP increased by 179,22 %, which makes a year-on-year increase by 14 667 millions euro. The number of transfer orders processed decreased by 478 orders in comparison to the year 2019 (i.e. decreased by 5,3 %).

**Delivery versus  
Payment  
Transfers (DvP)**

The value of securities transferred in 2020 as FoP transfers, expressed in the nominal value, amounted to 40 981 million euro and the average daily value of these transfers amounted to 158,84 million euro. In total 6 599 instructions for FoP transfer of securities was processed in the year 2020 and the average daily number of the processed orders was 26. Compared to the previous year, the value of FoP transfers increased by 14 678 million euro, which represents a year-on-year growth by 55,81 %. In comparison to the year 2019, the number of FoP transfer instructions decreased by 15 875 instructions, which represents a year-on-year fall by 70,64 %.

**Free of  
Payment  
Transfers (FoP)**

## Value of Securities in CDCP's Register

As of 31 December 2020 the book-entry securities with the total nominal value of 94 691 millions euro were held in the owners' accounts, clients' accounts and holders' accounts. The highest share of that value was represented by bonds in the total value of 55 195 million euro. The total value of shares registered in the accounts was 36 954 million euro and the value of the other securities, which include also issues of government treasury bills, reached total value of 2 451 million euro. Total number of issues of book-entry shares issued in CDCP as of 31 December 2020 amounted to 1 732 issues. The number of issues of bonds was 551, and 224 issues of co-operative units were registered. The number and value of issues of book-entry units of the unit trust did not change in the course of the year 2020 - 16 issues with the total value of 3.35 million euro were registered. In the category of other securities was registered 34 issues at the end of December 2020.

**Year-end  
Balance in  
Owner's  
Accounts**

The total value of book-entry securities issued in CDCP increased by 10.94 % compared to the previous year, and the increase expressed in the nominal value equals 9 337 million euro.

In the year 2020, the biggest increase was recorded in value of the bonds which rose by 7 156 million euro. The nominal value of Other securities raised by 2 150 million euro. Value of issues of book-entry shares increased by 31 million euro and the value of co-operative units fell by 0.864 million euro. In 2020 the units of the unit trust did not show any change compared to the previous year and their value was 3.35 million euro.

In 2020, in CDCP the total of 142 new issues of book-entry securities with the total nominal value of 20 149 million euro were issued. As of the end of the year in question, out of these issues the securities with the value of 12 224 million euro were credited to the accounts. The highest increase in the value of new securities was recorded in bonds, i.e. in the amount of 15 635 million euro. It was followed by new issues from category of other securities in the value of 4 321 million euro and the category of shares amounted to 193 million euro. In 2020, 38 new issues of shares, 87 issues of bonds and 17 issues from group of other securities were issued whereas this year four new issues in this category were treasury bills. Not a single issue of book-entry units of unit trust or co-operative units was issued in the year 2020.

**New Issues  
issued in the  
course of the  
year**

In the course of 2020, securities with the nominal value of 6 764 million euro were cancelled in issuer's register of book-entry securities. The cancelled securities comprised of 155 issues in total, and out of this number, 24 issues were deleted from the issuer's register based on data from the electronical commercial register. The largest decline occurred in bonds where 91 issues were cancelled with the total value of 4 575 million euro. This was followed by 41 issues of shares with the value of 166 million euro, 16 cancelled issues of other securities with the value of 2 017 million euro and, at last, co-operative units with the value of 3.95 million which amounted to 7 issues. No issue of units of unit trust was cancelled in 2020.

**Issues  
Cancelled in the  
course of the  
Year**

### **Issuer's Registers, Lists of Shareholders and other services**

As of 31 December 2020, CDCP kept issuer's register for 1 414 issuers of book-entry securities and in a special registry is registered issue for one foreign issuer. Out of the mentioned number of issues issued by domestic issuers at least one security was credited to the owner's account, client account or holder's account. The number of issuers for whom CDCP administered an issuer's register decreased by 12 (-0.84 % y-o-y) compared to 2019.

**Issuer's  
Registers  
Administration**

In 2020, the central depository administered a list of shareholders for 3 702 issuers of paper-form shares, which represents an increase by 21 issuers (increase by 0.57 % y-o-y) compared to the previous year.

**Issuers of  
Physical Shares**

In the year 2019, CDCP handled a total of 15 500 written requests concerning birth registration number or Company ID submitted by authorised persons pursuant to the Article 110 of Act No.566/2001 Coll. on Securities and Investment Services as amended. This figure involves 8 030 request by the courts, 2 852 requests placed by executors, requests delivered from PF/NBS/NSA and 479 requests were submitted by tax and customs offices to which the services are provided free of charge. Compared to 2019, CDCP handled 1 095 requests less what makes a year-on-year decrease by 6.6 %.

**Services based  
on written  
request**

In the year 2020, CDCP also handled a total of 17 200 written requests submitted by natural persons and legal entities for the services such as change in owner, account statement or change in personal data and the like.