



CENTRÁLNY DEPOZITÁR CENNÝCH PAPIEROV SR, a.s.

ROČNÁ ŠTATISTIKA

Annual Statistics

Rok 2021 / Year 2021

OBJEM A POČET PREVODOV SPOLU		
Value and Number of Transfers Total	2021	2020
POČET PREVÁDZKOVÝCH DNÍ/Number of Operation days	258	258
CELKOVÝ OBJEM V EUR /Total Value in EUR	49 493 627 670	63 831 982 026
PRIEMERNÝ DENNÝ OBJEM V EUR / Average Daily Value in EUR	191 835 766	247 410 783
POČET PREVODOV / Number of Transfers	53 246	15 141
PRIEMERNÝ DENNÝ POČET PREVODOV / Average Daily Number of Transfers	206	59

OBJEM A POČET PREVODOV S FINANČNÝM VYROVNANÍM		
Value and Number of DvP Transfers	2021	2020
CELKOVÝ OBJEM V EUR - Trhová hodnota /Total Value in EUR - Market Value	8 762 341 967	22 850 834 327
PRIEMERNÝ DENNÝ OBJEM V EUR /Average Daily Value in EUR	33 962 566	88 569 125
POČET PREVODOV /Number of Transfers	10 102	8 542
PRIEMERNÝ DENNÝ POČET PREVODOV /Average Daily Number of Transfers	39	33

OBJEM A POČET PREVODOV BEZ FINANČNÉHO VYROVNANIA		
Value and Number of FoP Transfers	2021	2020
CELKOVÝ OBJEM V EUR - Nominálna hodnota Total Value in EUR - Nominal Value	40 731 285 702	40 971 147 700
PRIEMERNÝ DENNÝ OBJEM V EUR/Average Daily Value in EUR	157 873 200	158 802 898
POČET PREVODOV/Number of Transfers	43 144	6 599
PRIEMERNÝ DENNÝ POČET PREVODOV/Average Daily Number of Transfers	167	26

OBJEM ZAKNIHOVANÝCH CP V MENOVITEJ HODNOTE - STAV KU KONCU ROKA			
Nominal Value of Book-entry Securities - as of End of the Year	2021	2020	2019
OBJEM SPOLU V EUR /Value Total in EUR	102 304 338 282	94 691 474 704	85 354 330 405
AKCIE /Shares	37 615 331 876	36 954 531 596	36 923 414 551
z toho: nekonvertované akcie / out of that: non-converted shares*	1 443 613 487	1 477 268 105	1 623 816 236
DLHOPISY /Bonds	64 115 641 692	55 195 314 142	48 038 931 173
PODIELOVÉ LISTY /Units	3 349 864	3 349 864	3 349 864
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	86 335 585	86 384 873	87 248 944
INÉ /Others	483 679 265	2 451 894 229	301 385 873

* emisie v menovitej hodnote v SKK prepočítané pre informáciu konverzným kurzom / issues in SKK converted to EUR for information purpose at a conversion rate

OBJEM A POČET PREVODOV SPOLU - 2021						
Value and Number of Transfers - Total in 2021						
	PREVODY DvP - TRHOVÁ HODNOTA		PREVODY FoP - NOMINÁLNA HODNOTA		PREVODY SPOLU	
	DvP Transfers - Market Value		FoP Transfers - Nominal Value		Transfers Total	
	OBJEM V EUR	POČET	OBJEM V EUR	POČET	OBJEM V EUR	POČET
	Value in EUR	No.of Transfers	Value in EUR	No.of Transfers	Value in EUR	No.of Transfers
01.2020	393 474 241	499	3 731 376 833	658	4 124 851 074	1 157
02.2020	540 991 020	1 727	2 945 465 785	571	3 486 456 806	2 298
03.2020	650 830 959	675	8 056 994 093	717	8 707 825 052	1 392
04.2020	1 772 487 496	1 019	6 044 857 615	521	7 817 345 112	1 540
05.2020	519 630 372	726	2 289 592 568	542	2 809 222 939	1 268
06.2020	857 480 144	746	4 082 805 583	35 633	4 940 285 727	36 379
07.2020	352 666 354	728	2 034 132 592	543	2 386 798 946	1 271
08.2020	276 169 256	676	2 121 767 245	537	2 397 936 501	1 213
09.2020	479 398 188	685	2 884 235 213	983	3 363 633 401	1 668
10.2020	1 852 445 682	1 112	3 199 347 624	657	5 051 793 306	1 769
11.2020	675 534 235	816	1 467 881 145	995	2 143 415 380	1 811
12.2020	391 234 020	693	1 872 829 406	787	2 264 063 426	1 480
SUM	8 762 341 967	10 102	40 731 285 702	43 144	49 493 627 670	53 246

REGISTRE EMITENTA A ZOZNAMY AKCIONÁROV

Issuer's Registers and Lists of Shareholders

	2021	2020	2019
POČET EMITENTOV ZAKNIHOVANÝCH CP, KTORÝM CDCP VEDIE REGISTER EMITENTA /Number of Issuers for whom the CDCP administers the issuer's register	1 641	1 414	1 426
POČET EMITENTOV LISTINNÝCH AKCIÍ NA MENO, KTORÝM CDCP VEDIE ZOZNAM AKCIONÁROV /Number of Issuers of registered paper shares for whom the CDCP administers the List of Shareholders	3 931	3 702	3 681

NOVÉ EMISIE ZAKNIHOVANÝCH CP VYDANÝCH V CDCP - MENOVIÁ HODNOTA

New Issues of Book-entry Securities issued in CDCP - Nominal Value

	OBJEM V EUR Value in EUR	POČET EMISIÍ No. of Issues
OBJEM SPOLU / Value Total	13 500 602 094	179
AKCIE / Shares	130 857 696	61
DLHOPISY / Bonds	13 335 002 550	103
PODIELOVÉ LISTY / Units	0	0
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	0	0
INÉ / Others	34 741 847	15

ZRUŠENÉ EMISIE ZAKNIHOVANÝCH CP VRÁTANE EMISIÍ, PRI KTORÝCH DOŠLO KU ZMENE PODOBY NA LISTINNÉ - MENOVIÁ HODNOTA

Canceled Issues of Book-entry Securities including issues with change of form to paper securities - Face Value

	OBJEM V EUR Value in EUR	POČET EMISIÍ No. of Issues
OBJEM SPOLU / Value Total	3 756 780 954	164
AKCIE / Shares	214 279 861	48
DLHOPISY / Bonds	1 378 396 622	103
PODIELOVÉ LISTY / Units	0	0
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	1 244 274	1
INÉ / Others	2 162 860 197	12

EMISIE VYMAZANÉ Z REGISTRA EMITENTA NA ZÁKLADE ÚDAJOV Z ELEKTRONICKÉHO OBCHODNÉHO REGISTRA

Issues deleted from the issuer's registration on the basis of data from electronic companies register

	2021
POČET EMISIÍ /Number of issues	18

COMMENTS ON ANNUAL STATISTICS 2021

Processing of Transfer Orders

In 2021, the central depository executed DvP transfers of securities in the total market value of 8 762 million euro. In terms of the number of instructions, the central depository processed a total of 10 102 instructions in its settlement system. The average daily value of securities settled in the CDCP system as DvP transfers reached 33.96 million euro, and the daily number of these transfer instructions averaged at 39 instructions. Compared to a situation of the year 2020, the total value of securities transferred as DvP decreased by 61.65 %, what makes a year-on-year decrease by 14 088 million euro. The number of transfer orders processed increased by 1 560 orders in comparison to the year 2020 (i.e. raised by 18.26 %).

**Delivery versus
Payment
Transfers (DvP)**

The value of securities transferred in 2021 as FoP transfers, expressed in the nominal value, amounted to 40 731 million euro and the average daily value of these transfers amounted to 157.9 million euro. In total 43 144 instructions for FoP transfer of securities was processed in the year 2021 and the average daily number of the processed orders was 167. Compared to the previous year, the value of FoP transfers decreased by 249.86 million euro, which represents a year-on-year fall by 0.61 %. In comparison to the year 2020, the number of FoP transfer instructions increased by 36 545 instructions, which represents a year-on-year growth by 553.8 %.

**Free of
Payment
Transfers (FoP)**

Value of Securities in CDCP's Register

As of 31 December 2021 the book-entry securities with the total nominal value of 102 304 million euro were held in the owners' accounts, clients' accounts and holders' accounts. The highest share of that value was represented by bonds in the total value of 64 115 million euro. The total value of shares registered in the accounts was 37 615 million euro and the value of the other securities, which include also issues of government treasury bills, reached total value of 483 million euro. Total number of issues of book-entry shares issued in CDCP as of 31 December 2021 amounted to 2 073 issues. The number of issues of bonds was 571, and 223 issues of co-operative units were registered. The number and value of issues of book-entry units of the unit trust did not change in the course of the year 2021 - 16 issues with the total value of 3.35 million euro were registered. In the category of other securities was registered 55 issues at the end of December 2021. The total value of book-entry securities issued in CDCP increased by 8.04 % compared to the previous year, and the increase expressed in the nominal value equals 7 612 million euro.

**Year-end
Balance in
Owner's
Accounts**

In the year 2021, the biggest increase was recorded in value of the bonds which rose by 8 920 million euro. The nominal value of Other securities dropped by 1 968 million euro. Value of issues of book-entry shares increased by 660.8 million euro and the value of co-operative units fell by 0.05 million euro. In 2021 the units of the unit trust did not show any change compared to the previous year and their value was 3.35 million euro.

In 2021, in CDCP the total of 179 new issues of book-entry securities with the total nominal value of 13 501 million euro were issued. As of the end of the year in question, out of these issues the securities with the value of 12 725 million euro were credited to the accounts. The highest increase in the value of new securities was recorded in bonds, i.e. in the amount of 13 335 million euro. It was followed by new issues of shares in the value of 130.9 million euro and the category of other securities amounted to 34.7 million euro. In 2021, 61 new issues of shares, 103 issues of bonds and 15 issues from group of other securities were issued; in course of this year no new issue of government treasury bill was issued. Not a single issue of book-entry units of unit trust or co-operative units was issued in the year 2021.

**New Issues
issued in the
course of the
year**

In the course of 2021, securities with the nominal value of 3 757 million euro were cancelled in issuer's register of book-entry securities. The cancelled securities comprised of 164 issues in total, and out of this number, 18 issues were deleted from the issuer's register based on data from the electronical commercial register. The largest decline occurred in the category of other securities where 12 issues were cancelled with the total value of 2 163 million euro, out of that 2 were issues of government treasury bills. This was followed by 103 issues of bonds with the value of 1 378 million euro, 48 cancelled issues of shares with the value of 214 million euro and, at last, 1 issue of co-operative units with the value of 1.2 million. No issue of units of unit trust was cancelled in 2021.

**Issues
Cancelled in the
course of the
Year**

Issuer's Registers, Lists of Shareholders and other services

As of 31 December 2021, CDCP kept issuer's register for 1 641 issuers of book-entry securities and in a special registry is registered an issue for one foreign issuer. Out of the mentioned number of issues issued by domestic issuers at least one security was credited to the owner's account, client account or holder's account. The number of issuers for whom CDCP administered an issuer's register increased by 227 (16.05 % y-o-y) compared to 2020.

**Issuer's
Registers
Administration**

In 2021, the central depository administered a list of shareholders for 3 931 issuers of paper-form shares, which represents an increase by 229 issuers (increase by 6.19 % y-o-y) compared to the previous year.

**Issuers of
Physical Shares**

In the year 2021, CDCP handled a total of 11 376 written requests concerning birth registration number or Company ID submitted by authorised persons pursuant to the Article 110 of Act No.566/2001 Coll. on Securities and Investment Services as amended. This figure involves requests by the courts, executors, requests delivered from PF/NBS/NSA and tax and customs offices to which the services are provided free of charge. Compared to 2020, CDCP handled 4 124 requests less what makes a year-on-year decrease by 26.61 %.

**Services based
on written
request**

In the year 2021, CDCP also handled a total of 16 985 written requests submitted by natural persons and legal entities for the services such as change in owner, account statement or change in personal data and the like.