



Centrálny depozitár cenných papierov SR, a.s.

CATALOGUE OF SERVICES FOR PERSONS WITH GRANTED ACCESS

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List of Used Abbreviations

Abbreviations in service names:

NBS	the National Bank of Slovakia
DRS	Disposal Right Suspension
ZOCP	Act No. 566/2001 Coll. on Securities and Investment Services and on amendments of and supplements to certain acts as amended

Abbreviations in messages:

camt	Cash Management
cash	Cash
reda	Reference Data
semt	Securities Management
sese	Securities Settlement
seev	Securities Event
suda	Subject Data
plda	Pledge Data
tcds	Transfer As Collateral
inmt	Instruction Maintenance
joow	Joint Ownership
damt	Data Maintenance
owda	Owners Data



Introduction

On 13 January 2022 Centrálny depozitár cenných papierov SR, a.s. approved the Catalogue of Services for Persons with Granted Access (hereinafter only the “Catalogue”) with the effect of its application since 1 February 2022.

The Catalogue contains the lists of services to individual types of services in accordance with Articles 8 and 21, Part II of the Rules of Operation of Centrálny depozitár cenných papierov SR, a.s. (hereinafter only the “Rules of Operation”), the specification of services for other market infrastructure under Article 31, Part II of the Rules of Operation, and the specification of other services made accessible to the participants in connection with performance of their activities.

1. Participant

1.1 Owner's Account Services

Ser. No.	Service Name	Inbound message	Outbound message
1	Statement of account data	reda.019	reda.021
2	Statement of account (as at current date or as at previous date)	semt.025	semt.040, semt.002
3	Change statement of account	damt.017	semt.002
4	Selection of accounting entries in owner's/holder's account, ISIN for the respective period	damt.015	damt.016
5	Registration of creation, change or termination of DRS based on instruction of the account owner under Art. 28 (3) (a) of the ZOCP	semt.013	semt.014, semt.015
6	Registration of creation, change or termination of DRS based on instruction of a securities broker or foreign securities broker under Art. 28(3) (b) of the ZOCP	semt.013	semt.014, semt.015
7	Reservation/cancellation of reservation of securities for auto-collateralisation	semt.013	semt.014, semt.015
8	Registration of transfer/movement/transition of securities	sese.023	sese.024, sese.025
9	Registration of creation of pledge in the account under Article 53a of the ZOCP (collateral type)	plda.001	plda.002
10	Registration of change of pledge in the account under Article 53a of the ZOCP (collateral type)	plda.003	plda.002
11	Registration of termination of pledge in the account under Article 53a of the ZOCP (collateral type)	plda.004	plda.002
12	Statement of data on the pledge registered under Article 53a of the ZOCP	plda.005	plda.006
13	Creation of security shares	joow.001	joow.002

1.2 Holder's Account Services

Ser. No.	Service Name	Inbound message	Outbound message
1	Statement of account data	reda.019	reda.021
2	Statement of account (as at current date or as at previous date)	semt.025	semt.040, semt.002
3	Change statement of account	damt.017	semt.002

4	Selection of accounting entries in owner's /holder's account, ISIN for the respective period	damt.015	damt.016
5	Reservation/cancellation of reservation of securities for auto-collateralisation	semt.013	semt.014, semt.015
6	Registration of transfer/movement/transition of securities	sese.023	sese.024, sese.025
7	Creation of security shares	joow.001	joow.002
8	Shareholders identification disclosure request	-	seev.045
9	Shareholders identification disclosure request cancellation advice	-	seev.046

2. Services of Authorized Participant for the Owner's Account

2.1 In relation to the owner's account kept for the participant

To the same extent as in case of the Owner's Account Services under Clause 1.1.

2.2 In relation to the owner's account kept for the person other than the participant

Ser. No.	Service Name	Inbound message	Outbound message
1	Statement of account data	reda.019	reda.021
2	Registration of transfer/movement/transition of securities	sese.023	sese.024, sese.025

On the basis of the authorization granted by CDCP:

Ser. No.	Service Name	Inbound message	Outbound message
1	Statement of account (as at current date or as at previous date)	semt.025	semt.040, semt.002
2	Selection of accounting entries in owner's /holder's account, ISIN for the respective period	damt.015	damt.016
3	Registration of creation, change or termination of DRS based on instruction of the account owner under Article 28(3)(a) of the ZOCP	semt.013	semt.014, semt.015

4	Registration of creation, change or termination of DRS based on instruction of a securities broker or foreign securities broker under Section 28(3)(b) of the ZOCP	semt.013	semt.014, semt.015
5	Registration of creation of pledge in the account under Article 53a of the ZOCP (collateral type)	plda.001	plda.002
6	Registration of change of pledge in the account under Article 53a of the ZOCP (collateral type)	plda.003	plda.002
7	Registration of termination of pledge in the account under Article 53a of the ZOCP (collateral type)	plda.004	plda.002
8	Statement of data on the pledge registered under Article 53a of the ZOCP	plda.005	plda.006
9	Reservation/cancellation of reservation of securities for auto-collateralisation	semt.013	semt.014, semt.015

3. Services of Authorized Participant for the Holder's Account

To the same extent as in the case of Holder's Account Services under Clause 1.2.

4. Issuer's Services

4.1 In relation to participant's own securities issues (issued by that participant)

Ser. No.	Service Name	Inbound message	Outbound message
1	Crediting of securities to an account (entry of data to the credit of the account)	sese.023	sese.024, sese.025
2	Debiting of securities from an account (entry of data to the debit of the account)	sese.023	sese.024, sese.025
3	List of securities owners	owda.001	owda.002

4.2 In relation to execution of activities of the Issuing Agent pursuant to Part IV of the Rules of Operation

The services are made accessible to the participants to the extent of the authorisation granted to the participant by the issuer..

Ser. No.	Service Name	Inbound message	Outbound message
1	Crediting of securities to an account (entry of data to the credit of the account)	sese.023	sese.024, sese.025



2	Debiting of securities from an account (entry of data to the debit of the account)	sese.023	sese.024, sese.025
3	List of securities owners / Current excerpt from SJC shareholder register	owda.001	owda.002

5. Participant and Also Member

5.1 Client's Account Services

Ser. No.	Service Name	Inbound message	Outbound message
1	Registration of entity (owner/holder, other authorized person, creditor type)	suda.001	suda.002
2	Change of entity data (owner/holder, other authorized person type)	suda.003	suda.002
3	Cancellation of registration of entity (owner/holder, other authorized person type)	suda.004	suda.002
4	Statement of data on entity from the member's registry	suda.005	suda.006
5	Opening of owner's account	reda.018	reda.020
6	Change of owner's account data	reda.023	reda.020
7	Closing of owner's account	reda.032	reda.020
8	Statement of owner's account data	reda.019	reda.021
9	Statement of account (as at current date or as at previous date)	semt.025	semt.040, semt.002
10	Change statement of account	damt.017	semt.002
11	Selection of accounting entries in owner's/holder's account, ISIN for the respective period	damt.015	damt.016
12	Registration of creation of pledge on the account under Article 53a of the ZOCP (contractual pledge type)	plda.001	plda.002
13	Registration of change of pledge on the account under Article 53a of the ZOCP (contractual pledge type)	plda.003	plda.002
14	Registration of termination of pledge on the account under Article 53a of the ZOCP (contractual pledge type)	plda.004	plda.002
15	Statement of data on the pledge registered under Article 53a of the ZOCP	plda.005	plda.006
16	Registration of creation of transfer as collateral in the account under Article 53c of the ZOCP	tcda.001	tcda.002
17	Registration of change of transfer as collateral in the account under Article 53c of the ZOCP	tcda.003	tcda.002

18	Registration of termination of transfer as collateral in the account under Article 53c of the ZOCP	tcda.004	tcda.002
19	Statement of data on transfer as collateral under Article 53c of the ZOCP	tcda.005	tcda.006
20	Registration of creation /change/cancellation of disposal right suspension based on instruction of authorized persons under Article 28 of the ZOCP	semt.013	semt.014, semt.015
21	Reservation/cancellation of reservation of securities for auto-collateralisation	semt.013	semt.014, semt.015
22	Indication of pledge on the account	semt.013	semt.014, semt.015
23	Registration of creation /change / deletion of the right to join transfer / demand transfer of shares of the simple joint-stock company	semt.013	semt.014, semt.015
24	Registration of transfer/movement/transition of securities	sese.023	sese.024, sese.025
25	Creation of security shares	joow.001	joow.002
26	Statement on co-owners in co-ownership	joow.003	joow.004

5.2 Services of Authorized Member to Non-allocated Accounts

Ser. No.	Service Name	Inbound message	Outbound message
1	Registration/cancellation of registration of the authorized member in the account	reda.023	reda.020
2	Statement of account data	reda.019	reda.021
3	Registration of transfer/movement/transition of securities	sese.023	sese.024, sese.025

On the basis of the authorization granted by CDCP:

Ser. No.	Service Name	Inbound message	Outbound message
1	Statement of account (as at current or as at previous date)	semt.025	semt.040, semt.002
2	Selection of accounting entries in in owner's /holder's account, ISIN for the respective period	damt.015	damt.016

3	Registration of creation, change or termination of DRS based on instruction of the account owner under Article 28 (3)(a) of the ZOCP	semt.013	semt.014, semt.015
4	Registration of creation, change or termination of DRS based on instruction of a securities broker or foreign securities broker under Article 28(3)(b) of the ZOCP	semt.013	semt.014, semt.015
5	Registration of creation of pledge on the account under Article 53a of the ZOCP (collateral type)	plda.001	plda.002
6	Registration of change of pledge on the account under Article 53a of the ZOCP (collateral type)	plda.003	plda.002
7	Registration of termination of pledge on the account under Article 53a of the ZOCP (collateral type)	plda.004	plda.002
8	Statement of details on pledge registered under Article 53a of the ZOCP	plda.005	plda.006
9	Reservation/cancellation of reservation of securities for auto-collateralisation	semt.013	semt.014, semt.015

6. Services for Participants beyond the Framework of the Above Services

Ser. No.	Service Name	Inbound message	Outbound message
1	Notification of settlement instruction generation	-	sese.032
2	Auto-collateralisation in settlement system	sese.032	sese.024, sese.025
3	Statement of settlement instructions	semt.026	semt.027
4	Information on settlement instruction status (audit trail)	sese.021	semt.022
5	Statement of settlement instructions settled	-	semt.017
6	Statement of settlement instructions without final status	-	semt.018
7	Statement of transitions with transfer of rights to securities in account	-	damt.011
8	Information on missing settlement instruction	-	sese.028
9	Deletion of information on missing settlement instruction	-	sese.029
10	Cancellation of information on missing settlement instruction	-	semt.020
11	Overview of missing settlement instructions	-	semt.019
12	Statement of instructions to change the settlement instruction	semt.030	semt.031

13	Statement of instructions to cancel the settlement instruction	semt.032	semt.033
14	Statement of settled restrictive instructions against securities in the account	-	semt.016
15	Hold/release/change of settlement instruction	sese.030	sese.031
16	Cancellation of settlement instruction	sese.020	sese.027
17	Statement of details on the issue of securities	reda.010	reda.012
18	Statement of details on the entity from CDCP registry (except for the owner/holder type)	suda.005	suda.006
19	Information on operation day	camt.018	camt.019
20	Cash positions	cash.011	cash.012
21	Cash forecast	camt.003	camt.004
23	Statement of the “on-hold” instructions to change or terminate the pledge registered under Article 53a of the ZOCP (exclusively for NBS)	plda.007	plda.006
23	Release of the change/termination of pledge registered under Article 53a of the ZOCP put on hold (exclusively for NBS)	inmt.001	inmt.002
24	Excerpt from the pledge registry (applies only to pledge for which participant is registered as pledgee or registrar of an account)	plda.005	plda.006
25	Excerpt from special registry of collateral transfer (applies only to collateral transfers for which participant is registered as creditor or registrar of an account)	tcda.005	tcda.006
26	Corporate action notification	-	seev.031
27	Corporate action cancellation advice	-	seev.039
28	Meeting notification	-	seev.001
29	Meeting cancellation	-	seev.002
30	Meeting entitlement notification	-	seev.003
31	Statistical information	-	excel format
32	Securities transaction penalties report	-	semt.044

7. Services for Other Market Infrastructure



Ser. No.	Service Name	Inbound message	Outbound message
1	Registration of transfer of securities	sese.023, MT541, MT543	sese.024, sese.025, MT548
2	Statement of settlement instructions	semt.026	semt.027
3	Information on settlement instruction status (audit trail)	sese.021	semt.022
4	Hold/release/change of settlement instruction	sese.030	sese.031
5	Cancellation of settlement instruction	sese.020, MT541, MT543	sese.027, MT548
6	Statement of instructions to change the settlement instruction	semt.030	semt.031
7	Statement of instructions to cancel the settlement instruction	semt.032	semt.033
8	Registration of creation, change or termination of DRS based on instruction of a stock exchange or multilateral trading facility under Article 28 (3) (c) of the ZOCP	semt.013	semt.014, semt.015
9	Statement of details on the issue of securities	reda.010	reda.012

8. Services performed by the T2S Directly Connected Party

The scope of services for the Directly Connected Party is given by privileges and restrictions that are published on CDCP web site in sense of the Executory Decree No. 5 to the Rules of Operation.