



**Centrálny depozitár cenných papierov SR, a.s.**

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**Scale of Fees  
of Centrálny depozitár cenných papierov SR, a. s.,  
effective from 1 July 2015**

**Bratislava, 30 March 2015**

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Annex 1: Other services in the registry of CDCP

Annex 2: List of codes and abbreviated names of services

## Article I. Definitions and explanation of selected terms

In case following terms are used in other provisions of all parts of the Scale of Fees, these terms have the meanings set out below:

- „CDCP“ - Centrálny depozitár cenných papierov SR, a.s.,
- „Act“ – Act No 566/2001 (Coll.) on Securities and on Investment Services and on Amendments and Supplements to certain acts,
- „BSSE“ - Bratislava Stock Exchange, jsc.,
- „Scale of Fees“ - Scale of Fees of Centrálny depozitár cenných papierov SR, a.s.,
- „ISIN“ - international securities identification number,
- „IČE“ - identification number of security issue with prefix „88“,
- „CP“ - security/securities,
- „ZCP“ - book-entry security /book-entry securities,
- „LCP“ - paper-form securities,
- „FI“ - financial instrument /financial instruments,
- „PL“, „units of unit trust“ - securities issued pursuant to act No. 594/2003 Coll. on Collective Investment and on Amendment and Supplement of certain acts as amended by later legislation,
- „CS“ - identification code of securities with prefix CS,
- „value of the securities issue“ - nominal value x number of securities /FI excluding units of a unit trust,
- „value of issue of units of unit trust“- number of shares per one unit of unit trust x initial value of one share x number of units of unit trust,
- „unit price“- basic price in euro for concerned value of the issue + percentage from value of securities issue,
- „ČEM“ - complementary code of an issue of registered paper-form shares, which is issued for purpose of keeping list of shareholders,
- „maturity period of bond“- time period from start of bonds issuance to maturity date pursuant to issuance conditions,
- „debt securities“- all types of bonds, investment certificates and treasury bills,
- „owner’s account“ - owner’s account opened pursuant to §105 and §164a of the Act,
- „holder’s account“ – account opened pursuant to § 105a of the Act,
- „NV“ - nominal value.

1. When sending the outputs of information services to natural and legal persons, a part of service is the postage of C.O.D according to the valid Scale of Postage Fees of the Slovak Post Office as well as all fees related to the transfer of payment to individual accounts (**Code CD-01 - Postage according to the Scale of Postage Fees of the Slovak Post Office**).
2. The payment for provided services is made on the basis of an issued invoice by means of cash transfer, cash on delivery, or possibly in cash at working place of the Business Services Section based on an issued receipt. In case the total price for services provided is lower than € 20, CDCP has right to ask for the cash payment. In case the total price for services provided is higher than € 400 without VAT, CDCP has right to ask for advance payment in amount of € 400 in cash and remainder via an invoice. Members of CDCP pay for provided services via issued invoice.
3. In case of a request for services, job performance and statistical data from the CDCP’s registry not included in this Scale of Fees, but related to the CDCP’s scope of activity, the price for such services shall be determined through an agreement between the client and the CDCP. (**Code CD-123 – Other services related to activities of CDCP**).
4. In case the service was not executed successfully (ends with an error return code), the price for such service shall only be equal to the basic price, unless otherwise is stated in the Scale of Fees.

5. In case the nominal values of securities are specified in SKK, for purpose of this Scale of Fees the nominal values are converted into EUR by conversion rate 30.1260 SKK /1 EUR.
6. For securities issued in a currency other than euro applies that CDCP shall convert volume of securities issue using the exchange rate of the currency to euro set by the National Bank of Slovakia on the date of calculation.
7. For services of CDCP provided on the basis of a written agreement (in particular issue registration, issue cancellation, etc.) the agreement shall specify the price for provided service and terms of payment. The price specified in the agreement need not be final and depends on the client's further requests for the performance of services.
8. For services provided on the basis of a written agreement CDCP reserves the right to issue for concerned services an invoice for advance payment and to perform the services only after the advance payment is received. CDCP also reserves the right, in compliance with the provisions of concluded agreement, to provide services only after the customer settles all overdue obligations it has towards CDCP.
9. In compliance with the Act No. 222/2004 (Coll.) on Value Added Tax in current wording CDCP adds, in the moment of service provision, a value added tax to the final price of the service, except services exempted from value added tax (hereinafter only "VAT").
10. The final price of provided services shall be rounded off in compliance with the Act No. 18/1996 (Coll.) on Prices in wording of the Act No. 520/2003 (Coll.).
11. Provisions of this Scale of Fees shall apply to securities issued pursuant to legislation of the European Monetary Union in the same way as they apply to securities issued in accordance with law of the Slovak Republic and CDCP shall execute services related to issuance of such securities free of charge pursuant to the community agreement on supporting monetary mechanisms of the European Union.
12. CDCP reserves the right to state in its invoices a code of service or an abbreviated name of the service (the list of abbreviated names is in Annex 2 of the Scale of Fees).
13. The services specified in the annex of the Scale of Fees can comprise of multiple technological steps, which can be represented by services with various codes of Dxxx type, where "Dxxx" represents an alphanumeric identification of corresponding service.

## Article II. Table part

### 1. National Numbering Agency

Item	Service name	Service code	Price / € (w/o VAT)
1.1	<b>Assignment of identification code ISIN</b>	CD - 02	130,00
1.2	<b>Cancellation of identification code ISIN</b>	CD - 120	130,00
1.3	<b>Repeated confirmation on ISIN assignment</b>	CD - 05	66,00
1.4	<b>ISIN restoration, with last valid changes</b>	CD - 06	16,50
1.5	<b>Change of particulars in the identification code ISIN *</b>	CD - 121	50,00
1.6	<b>Assignment of ISIN code to issues with prefix „CS“ and „88“**</b>	CD - 122	3,30

\* Change in trade name or seat of the issuer or in the postal code is free of charge. In case the issuer requires execution of several changes in particulars based on application, it will pay relevant price for each change separately. Provisions of this paragraph shall be used for calculation of price in case of change of security form.

\*\* Price for change from IČE to ISIN, for change in trade name or a seat of the issuer and for split of one IČE originally assigned to securities with different issue date to multiple ISINs is € 3.30 for one application without regards to number of changes executed simultaneously. Stated price applies also to change of the code with prefix CS to ISIN.

## 2. Services provided to issuers in connection with book-entry securities

Item	Service name	Service code	Price in € (w/o VAT)																										
2.1	Opening of issuer's registry for book-entry securities	D002	165,00																										
2.2	Registration of mortgage bonds issue	CD - 10	Price according to the table																										
	Registration of government bonds issue	CD - 113																											
	Registration of treasury bills issue	CD - 114																											
	Registration of bonds issue to the issuer's registry *	CD - 115																											
	Price for issuance of bonds per month **	CD - 116																											
	Registration of issue of other bonds and investment certificates	CD - 118																											
	<table border="1"> <thead> <tr> <th>Volume of issue from – to in €</th> <th>Unit price in € Basic price + % of value</th> <th>Price for max value of the issue in €</th> </tr> </thead> <tbody> <tr> <td>to 331 000,00</td> <td>66,00 + 0,100%</td> <td>397, 00</td> </tr> <tr> <td>331 000,01 - 1 659 000,00</td> <td>397,00 + 0,050%</td> <td>1 061,00</td> </tr> <tr> <td>1 659 000,01 - 3 319 000,00</td> <td>1 061,00 + 0,045%</td> <td>1 808,00</td> </tr> <tr> <td>3 319 000,01 - 16 596 000,00</td> <td>1 808,00 + 0,040%</td> <td>7 118,80</td> </tr> <tr> <td>16 596 000,01 - 33 193 000,00</td> <td>7 118,80 + 0,035%</td> <td>12 927,75</td> </tr> <tr> <td>33 193 000,01 - 331 939 000,00</td> <td>12 927,75 + 0,030%</td> <td>102 551,55</td> </tr> <tr> <td>331 939 000,01 - 2 000 000 000,00</td> <td>102 551,55 + 0,023%</td> <td>486 205,58</td> </tr> <tr> <td>2 000 000 000,01 and more</td> <td>486 205,58 + 0,020%</td> <td>max. 600 000,00</td> </tr> </tbody> </table>	Volume of issue from – to in €	Unit price in € Basic price + % of value	Price for max value of the issue in €	to 331 000,00	66,00 + 0,100%	397, 00	331 000,01 - 1 659 000,00	397,00 + 0,050%	1 061,00	1 659 000,01 - 3 319 000,00	1 061,00 + 0,045%	1 808,00	3 319 000,01 - 16 596 000,00	1 808,00 + 0,040%	7 118,80	16 596 000,01 - 33 193 000,00	7 118,80 + 0,035%	12 927,75	33 193 000,01 - 331 939 000,00	12 927,75 + 0,030%	102 551,55	331 939 000,01 - 2 000 000 000,00	102 551,55 + 0,023%	486 205,58	2 000 000 000,01 and more	486 205,58 + 0,020%	max. 600 000,00	
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<p><b>Calculation example in €</b> : number of securities = 1 200 units, Nominal value = 33 193,92 EUR  <b>Price for issue registration:</b> <math>[(1\ 200 \times 33\ 193,92) - 33\ 193\ 000,00] / 100 \times 0,03 + 12\ 927,75 = \text{€ } 14\ 919,66</math></p> <p><b>Price stated in the table is multiplied for an issue of other bonds with maturity period:</b>  a) to 12 months (including) by coefficient 0.2;  b) from 12 to 24 months (incl.) by coefficient 0.4;  c) from 24 to 36 months (incl.) by coefficient 0.6.</p> <p><b>Price stated in the table is multiplied for mortgage bonds with maturity period:</b>  a) to 12 months (including) by coefficient 0.9;  b) from 12 to 36 months (incl.) by coefficient 0.8;  c) above 36 months is not multiplied by any coefficient.</p> <p><b>Price stated in the table is multiplied by coefficient 0.4 for an issue of treasury bills.</b></p> <p>Price for government bonds and investment certificates is calculated only from the table 2.2.  Premature redemption of debt securities shall not influence the final price for an issue registration.</p> <p>When issue of bonds is issued in tranches, the price is calculated pursuant to the table in item 2.2 of the Scale of Fees, whereas applies that if value of the multiplication coefficient which should be used for calculation of the fee depends on the maturity period, then the difference between maturity date of the securities issue and date as of which registration of increase in number of units of the bonds is required, as specified by the issuer in their agreement, shall be used to determine the maturity period.</p>																													

\* In case the maturity period of bonds is longer than 12 months and value of the issue is € 500 000 000 or more, price for registration of bonds issue to the issuer's registry is 100 €.

\*\* In case the maturity period of bonds is longer than 12 months and value of an issue is € 500 000 000 € or more, CDCP shall send an invoice with price for issuance/crediting of bonds from relevant issue to the issuer once per month; the price is calculated pursuant to the table 2.2, whereas basis for fee calculation is value of bonds which were credited to the accounts during previous month based on an instruction from the issuer; maximal price for entire bonds issue is € 600 000.

- CDCP shall provide a discount of 50% of the price according to the table 2.2 if the issuer undertakes to introduce an issue of bonds to trading on the listed market of BSSE within three months from the registration date. If the condition from the first sentence is not met, the issuer is obliged to pay additional price equal to the provided discount. Provisions of this paragraph do not apply to government bonds and mortgage bonds.

	<b>Registration of issue of units of unit trust</b>	CD - 12	
2.3	<b>Value of issue from – to in €</b>	<b>Unit price in € Basic price + % from value</b>	<b>Price for max value of the issue in €</b>
	to 1 659 000,00	33,00 + 0,010%	198,90
	1 659 000,01 – 3 319 000,00	198,90 + 0,009%	348,30
	3 319 000,01 – 16 596 000,00	348,00 + 0,008%	1 410,46
	16 596 000,01 or more	1 410,00 + 0,007%	max. 2 500,00
	<p><b>Calculation example in €</b> : number of securities = 65 000 units; Initial value of one share = € 33,19; Number of shares (units) per one security = 1 unit  <b>Price for issue registration:</b> <math>[(65\,000 \times 33,19 \times 1) - 1\,659\,000,00]/100 \times 0,009 + 198,90 = \mathbf{243,75\ €}</math></p>		
	<b>Registration of an issue of shares and other securities</b>	CD - 13	
2.4	<b>Value of issue from – to in €</b>	<b>Unit price in € Basic price + % from value</b>	<b>Price for max value of the issue in €</b>
	<b>A</b>	<b>B      C</b>	<b>D</b>
	to 16 596 000,00	165,00 + 0,10%	16 761,00
	16 596 000,01 – 33 193 000,00	16 761,00 + 0,08%	30 038,60
	33 193 000,01 or more	30 038,60 + 0,06%	max. 165 900,00
	<p><b>Calculation example in €</b> : number of securities = 1 200 units; Nominal value = 33 193,92 in €  <b>Price for issue registration:</b> <math>[(1200 \times 33\,193,92) - 33\,193\,000,00]/100 \times 0,060 + 30\,038,60 = \mathbf{\€\ 34\,022,42}</math></p>		

- CDCP shall provide a discount of 40% of the price according to the table 2.4, if the issuer undertakes to introduce a share issue to trading BSSE listed market within three months from the registration date. If the condition from the first sentence is not met, the issuer is obliged to pay an additional price equal to the provided discount.
- In case of increase of registered capital by change of number of units, or by concurrent change in number of units and change in the nominal value of the security, the price is calculated based on the table 2.4 (CD – 13).

2.5	<b>Debiting of securities from an owner's account</b>	CD - 15	1,65/ISIN in owner's account
2.6	<b>Crediting of securities to an owner's account</b>		No fee
2.7	<b>Sending of change statement after change (credit , debit)</b>		No fee
2.8	<b>Change in data on issuer</b> (change in trade name, issuer's name or seat in the issuer's registry)	CD - 16	165,00
2.9	<b>Change in postal code</b>		No fee
2.10	<b>Change in data on an issue</b> (decrease in number of securities units, change in the nominal value or form of security, registration or cancellation of restriction of negotiability). In case of decrease in number of units of securities, number of securities calculated as difference between original and new number of securities shall be used.	CD - 17	165,00 + 0,003 for each security max. 49 790,00
2.11	<b>Change in short name of a securities issue, further specification of type of shares, marking of negotiability or marking of termination of bond</b>		No fee

2.12	<b>Change of ISIN pursuant to § 12, item 1 of the Act</b> (change of IČE to ISIN)		No fee
2.13	<b>Change of the nominal value and concurrent change in number of units of book-entry securities, whereas value of securities issue remains the same.</b>	CD - 105	331,50/issue
2.14	<b>Cancellation of registration of securities issue</b>	CD - 18	33,00 + 0,007 per each security max. 50 000,00
2.15	<b>Cancellation of registration of securities issue of an issuer that is in liquidation</b> – an issuer is charged only price pursuant to item 2.5 + price for service D082, see Annex 1		No fee
2.16	<b>List of securities owners in electronic form</b> (list issued as of current date, or as of previous date)	CD - 21	110,00 + 33,00 per each required ISIN
2.17	<b>List of securities owners in electronic form – output in printed form</b> (price does not include item 2.16)	CD - 22	16,50 per each ISIN
2.18	<b>Sending of list of owners to an issuer</b>	CD - 24	8,30
2.19	<b>Provision of data from bond issuance conditions</b> (for foreign entity in electronic form)	CD - 28	3,30
2.20	<b>List of securities owners owning 5% or more of all securities issues admitted to regulated market</b> Price is determined according to subscription period (annual license fee): a) daily – information as of previous day is provided from 10 AM of respective business day; b) monthly – information for previous month is provided on first business day of following month; c) annually – information for previous year is provided at the turn of the year Daily/monthly information is provided by CDCP based on agreement concluded between CDCP and applicant.	CD - 96	a) 3 300,00 b) 1 600,00 c) 660,00
2.21	<b>List of securities owners of a single issue owning 5% or more of the issue admitted to regulated market</b>	CD - 97	165,00
2.22	<b>Change of currency from SKK to € - for book-entry securities, in case number of units is not changed</b>	CD - 117	330,00/issue + 0,003 per security in the issue max. 49 790,00

- Prices set for book-entry securities apply also to immobilised securities.
- In case subject matter of one agreement is covering multiple changes of security particulars, price for change of security particulars is calculated only once.
- In case subject matter of one agreement/supplement to the agreement is covering multiple changes in data on security issue, price for change in data on security issue is calculated only once

### 3. Services related to administration of list of shareholders of registered paper-form shares

Item	Service name	Service code	Price in € (w/o VAT)
3.1	<b>Registration of a list of shareholders in the registry of CDCP if the nominal value of a share is less than or equal to € 331,50</b> Applies also in case of amendment of the list of shareholders after increase of the registered capital through number of shares, if NV of share ≤ 331,50 EUR.	CD - 29	99,50 + 0,10/share max 6 635,00/ČEM
3.2	<b>Registration of a list of shareholders in the registry of CDCP if the</b>	CD - 30	99,50 + (0,10x

	<b>nominal value of a share is higher than € 331,50</b> Applies also in case of amendment of the list of shareholders after increase of the registered capital through number of shares, if NV of share > 331,50 EUR		NV/331,50) x number of shares max 6 635,00/ČEM
3.3	<b>Change in the business name or in address of the seat of an issuer of paper form securities.</b>		No fee
3.4	<b>Change in number of shares /of nominal value of paper-form registered shares</b> - decrease of registered capital through change in number of shares of change of nominal value separately for each list; - increase of registered capital through change of nominal value separately for each list; - increase of registered capital through increase of number of shares is calculated pursuant to item 3.1	CD - 33	99,50 + 0,03/share max 6 635,00/ČEM
3.5	<b>Change in the nominal value and concurrent change in number of securities, whereas registered capital remains unchanged**</b>	CD - 42	99,50/ČEM
3.6	<b>Deletion of shareholder from the list of shareholders, whose paper-form registered shares were announced void</b>	CD - 43	33,00+0,03/share
3.7	<b>Adding new shareholders into the list of shareholders, to which the issuer issued paper-form registered shares in exchange for shares announced void</b>		No fee
3.8	<b>Change of currency from SKK to € in lists of shareholders of paper-form registered shares</b> (number of securities is not changed and the list of shareholders is identified by one ČEM)	CD - 119	189,00 + 0,03/share
3.9	<b>Administration of the list of shareholders for one calendar year</b>	CD - 109	331,50/issuer
3.10	<b>Administration of the list of shareholders for period equal or shorter than 6 calendar months</b>	CD - 110	265,00/issuer
3.11	<b>Cancellation of registration of the list of shareholders</b>	CD - 31	33,00/ČEM
3.12	<b>Cancellation of registration of the list of shareholders / issuer's notice of cancellation</b>	CD - 107	100,00/ČEM
3.13	<b>List of shareholders of paper-form registered shares *</b> (provided in printed form if less than 100 pages or on file medium)	CD - 34	66,00/ČEM
3.14	<b>Delivery of the list of shareholders of paper-form registered shares via post</b>	CD - 35	8,30
3.15	<b>Excerpt from the list of shareholders, based on request of shareholder, in part concerning the shareholder in printed form</b>	CD - 36	3,30/page max 200 pages
3.16	<b>Excerpt from the list of shareholders, based on request of shareholder, in part concerning the shareholder on file medium.</b> (if more than 100 pages - possibility to use file medium; if more than 200 pages – on file medium)	CD - 37	66,00
3.17	<b>Excerpt from the list of shareholders as of previous date</b>	CD - 38	16,50/page
3.18	<b>Change of shareholder, based on issuer's request, if the nominal value of a share is less than or equal to € 331,50</b>	CD - 44	0,10/share; min 5,00; max 1 659,00/ČEM
3.19	<b>Change of shareholder, based on issuer's request, if the nominal value of a share is higher than € 331,50</b>	CD - 45	(0,10x NV/331,50)x number of shares; min 5,00 - max 1 659,00/ČEM
3.20	<b>Settlement/establishment of joint ownership of securities</b>	CD - 46	3,30/security; max 331,50
3.21	<b>Settlement/establishment of co-ownership of securities</b>	CD - 47	3,30/security; max 331,50

\* In case of duplicate printing is price for one page 3,30 EUR per each page, maximum up to 100 pages. List with range over 100 pages will be handed over on file medium for price 66.00 EUR.



\*\* Cancellation of registration of the list of shareholders due to change in the nominal value and change in number of securities, in case the registered capital remains unchanged, is free of charge.

#### 4. Services related to administration of foreign securities registry

- Price for provision of services related to registration of foreign securities applies only to owner's accounts in CDCP's registry.

Item	Service name	Price in € (w/o VAT)
4.1	<b>Provision of information related to the General Meeting of foreign securities issuer; one provision of information in printed form</b>	5,00 incl. postal charge
4.2	<b>Services related to payment of the nominal value and proceeds</b> – payment of the nominal value or proceeds to a bank account kept in a bank with seat in the Slovak Republic	2,00 + transfer fee according to the scale of fees of the bank
4.3	<b>Services related to payment of the nominal value and proceeds</b> – payment of the nominal value or proceeds in a different way than to a bank account kept in a bank with seat in the Slovak Republic	50,00 + fees related to cash delivery
4.4	<b>Provision of list of shareholders owning foreign securities</b>	No fee

#### 5. Services provided to members of CDCP

Item	Service name	Service code	Price in € (w/o VAT)
5.1	<b>Entry fee for a Member</b>	CD - 112	3 000,00
5.2	<b>Training on operating CDCP's systems</b> No fee is charged for training of 10 employees of a member; fee for every other trainee	CD - 52	200,00
5.3	<b>Installation of CDCP systems outside the Slovak Republic</b>	CD - 53	33,00/every started hour
5.4	<b>Service work on CDCP systems at client's place outside the Slovak Republic</b>	CD - 54	33,00/every started hour

- In case a standard service (D134) is used for processing of information from the archive of services performed by a member, the use of the service is free of charge.
- CDCP shall not charge members a price for services used to provide for issuance of statements from a member's own registry; for establishment/change/cancellation of an owner's account in a member's own registry; for registration/change/cancellation of securities disposal right suspension on an owner's account in a member's own registry; for registration/change/cancellation of pledge pursuant to §53a of the Act on an owner's account in a member's own registry; for registration/ change/ cancellation of transfer as collateral pursuant to §53c of the Act in a member's own registry for indicating pledge pursuant to §45 of the Act on an owner's account, and for indicating a change/termination of pledge established pursuant to §45 of the Act on an owner's account.

#### 6. Transfers, transitions of securities

- Price for transfer is paid by both the transferor and transferee, unless otherwise is stated.

**Transfers and transitions of securities executed based on instruction/semi-instruction submitted to CDCP in printed form:**

Item	Service name	Service code	Price in €
6.1	<b>Placement of order for securities transfer directly to CDCP, excluding</b>	CD - 62	18,00

	<b>units of unit trust</b>		
6.2	<b>Transfer of units of unit trust, directly in CDCP</b>	CD - 101	1,30
6.3	<b>Transition of securities</b> (when securities from one ISIN in co-ownership are subject to transition, the basic fee shall be paid only once; the fee is paid by a transferee). Upper limit of € 10 000 is used only for calculation of price for transition of Government bonds a Treasury bills issued by European Central Bank in co-operation with the National Bank of Slovakia or with Ministry of Finance of the Slovak Republic on behalf of the Slovak Republic. Upper price limit for the service is not set for other type of securities.	CD - 133	Basic fee 1,65 + 0,026 per security, max 10.000,00

**Transfers and transitions of securities executed based on instruction/semi-instruction submitted to CDCP by a member/BSSE in electronic form:**

- Price for clearing of instruction for settlement of non-exchange trade is paid by both transferor and transferee.
- All free-of-payment transfers of securities, securities movements and securities transitions, incl. semi-instructions, are free of payment if executed within a registry of one member.

Item	Service name	Service code	Price in €
6.4	<b>Semi-instruction for transfer or transition of securities</b>	CD - 60	1,00
6.5	<b>Transfer of securities, excl. units of the unit trust:</b>		
	Up to 50 units of securities (incl.)	CD - 57	3,30
	from 51 unit of securities (incl.)	CD - 57	6,60
6.6	<b>Transfer of units of the unit trust</b>	CD - 58	1,00
6.7	<b>Transfer of donated securities between natural persons</b>	CD - 111	1,00
6.8	<b>Settlement of exchange trades in the clearing and settlement system</b> (price is paid by the BSSE):		
	Transfer of securities	CD - 57 CD - 58	1,00
	Clearing of settlement instruction (transfer of cash shall be made in the payment system; service is VAT excluded).	CD - 63	3,00
6.9	<b>Settlement of non exchange trades in the clearing and settlement system:</b>		
	Transfer of securities	CD - 57 CD - 58	In the sense of items 6.5 and 6.6
	Clearing of settlement instruction (transfer of cash shall be made in the payment system; service is VAT excluded).	CD - 64	0,03% from value; min 1,50; max 82,50
6.10	<b>Instruction for securities transfer in Centrální depozitář cenných papírů, a.s.</b> (securities transfer from CDCP account in Centrální depozitář cenných papírů, a.s.)	CD - 108	10,00
6.11	<b>Securities transition between other than natural persons</b> (when securities from one ISIN in co-ownership are subject to transition, the basic fee shall be paid only once; the fee is paid by a transferee) Upper limit of € 10 000 is used only for calculation of price for transition of Government bonds a Treasury bills issued by European	CD - 133	Basic fee 1,65 + 0,026 per security, max 10.000,00

	Central Bank in co-operation with the National Bank of Slovakia or with Ministry of Finance of the Slovak Republic on behalf of the Slovak Republic. Upper price limit for the service is not set for other type of securities.		
6.12	<b>Registration of disposal right creation in an owner's account</b>	CD - 65	3,30
6.13	<b>Registration of change in the disposal right in an owner's account</b>	CD - 66	3,30
6.14	<b>Registration of the disposal right cancellation in an owner's account</b>	CD - 67	3,30

➤ For calculation of price for securities movement are used provisions of item 6.1, 6.2, 6.4, 6.5 and 6.6.

## 7. Administration of securities accounts

Item	Service name	Service code	Price in € (w/o VAT)					
7.1	<b>Opening of an account for CDCP member (owner's account, client's account, holders account)</b>		Free of charge					
7.2	<b>Opening of holder's account for a non-member of CDCP / opening of each next holder's account for a non-member of CDCP (entities specified in §105a indent 3)</b>	CD -98	165,00/500,00					
7.3	<b>Opening of an owner's account in the registry of CDCP</b>		Free of charge					
7.4	<b>Administration of an account for CDCP member (owner's account, client's account, holder's account) and administration of an holder's account for legal persons other than CDCP member:</b>	CD – 134 CD – 135 CD – 136 CD - 137						
	a) equities* (O = value of securities on the account in the nominal value, m= coefficient for equities)		O x m					
	b) debt securities** (O = value of securities on the account in the nominal value, m= coefficient for debt securities)		O x d					
	<b>Rules for calculation of fee for account administration:</b> <b>Fee for account administration is calculated as of the last day of each calendar month by summing up fee for equities and fee for debt securities, whereas applies that fee for account administration is minimum 30 eur and maximum 10 000 eur per one account in given month. In case that volume of securities in the account is 0.00 eur as of the last day of the calendar month, or an account is cancelled, account administration is free of charge for given month.</b> <b>Coefficient:</b>							
	<table border="1"> <thead> <tr> <th>Security</th> <th>Coefficient</th> </tr> </thead> <tbody> <tr> <td>Equity (m)</td> <td>0.0000044343</td> </tr> <tr> <td>Debt securities (d)</td> <td>0.0000012512</td> </tr> </tbody> </table>	Security	Coefficient	Equity (m)	0.0000044343	Debt securities (d)	0.0000012512	
Security	Coefficient							
Equity (m)	0.0000044343							
Debt securities (d)	0.0000012512							

	<p><b>Example of calculation of fee (C) for account administration for one month for equities in value of 1 000 000 eur and debt securities in value of 40 000 000 eur:</b></p> $C = (1\,000\,000 \text{ eur} \times 0.0000044343) + (40\,000\,000 \text{ eur} \times 0.0000012512) = (4.43 \text{ eur} + 50,05 \text{ eur}) = 54,48 \text{ eur}.$ <p><b>Rules for issuing an invoice for account administration fee:</b></p> <p>CDCP is issuing an invoice for administration fee for owner's account of CDCP member, client's account for CDCP member, holder's account for CDCP member and for administration of an holder's account for legal persons other than CDCP member at the end of each calendar month.</p>		
7.5	<p><b>Administration of an owner's account for natural persons with exception of persons specified in the item 7.6 and legal persons other than CDCP member:</b></p> <p>a) equities*</p> <p>(O = value of securities on the account in the nominal value, m= coefficient for equities, i = calendar month for which the fee is calculated, possible value is 1 to 12, i.e. January to December)</p> <p>b) debt securities**</p> <p>(O = value of securities on the account in the nominal value, m= coefficient for debt securities, i = calendar month for which the fee is calculated, possible value is 1 to 12, i.e. January to December))</p> <p><b>Rules for calculation of fee for account administration:</b></p> <p><b>Fee for owner's account administration is created by sum of 12 fees calculated as of the last day of each calendar month of the calendar year, whereas the fee is the sum of fee for equities and fee for debt securities and applies that account administratin fee is</b></p> <p>a) minimum 30,00 eur and maximum 10 000 eur for one account for given month for legal persons other than CDCP member</p> <p>b) minimum 1,00 eur and maximum 10 000 eur for one account for given month for natural persons</p> <p><b>In ase that volume of securities in the account is 0.00 eur as of the last day of the calendar month, or an account is canelled, account administration is free of charge for given month for the natural persons and for legal persons other than CDCP member.</b></p> <p><b>Coeffecients:</b></p> <p>Pursuant to the table in the item 7.4</p> <p><b>Example of calculation of fee (C) for administration of owner's account for the legal persons other than CDCP member for one month for equities in value of 5 000 000 eur:</b></p> $C = (5\,000\,000 \text{ eur} \times 0.0000044343) = 22.17 \text{ eur} = \text{minimum fee is } 30,00 \text{ eur}.$ <p><b>In case there was no change in the value of securities during the calendar year, the fee for administration of an account for the calendar year is 360,00 eur (30,00 eur per calendar month).</b></p>	CD – 138	$\sum_{i=1}^{12} (O_i \times m)$ $\sum_{i=1}^{12} (O_i \times d)$

	<p><b>Example of calculation of fee (C) for administration of owner's account for natural persons for one month for equities in value of 1 000 eur:</b></p> <p><b>C = (1 000 eur x 0.0000044343) = 0,004 eur = minimum fee is 1,00 eur. In case there was no change in the value of securities during the calendar year, the fee for administration of an account for the calendar year is 12,00 eur (1,00 eur per calendar month).</b></p> <p><b>Rules for issuing an invoice for account administration fee:</b></p> <p><b>Invoice for fee for account administration for natural persons and for legal persons other than CDCP members is issued by CDCP after end of the calendar year.</b></p>		
7.6	<b>Administration of an owner's account for deceased person</b>		Free of charge

\* For purpose of calculation of the account administration fee, following securities are considered as equity: shares, temporary certificate, units of the unit trust, co-operative units

\*\* For purpose of calculation of the account administration fee, following securities are considered as debt security: bonds, investment certificates, treasury bills

- In case of foreign securities held in the special registry of the foreign securities, CDCP reserves the right to categorise the foreign securities into debt securities or equity depending on character of the foreign security.
- Value of securities is sum of products of number of securities and of the nominal value of each securities issue held on the account.
- CDCP shall set value of units of unit trusts as a product of the initial value of one unit, of number of shares in one unit of the unit trust and of number of units of the unit trust from respective issue held on an account of securities owner.
- The value of securities calculated in respective month does not include securities of issuers of bonds after maturity.
- Securities issued by issuers deleted from the Companies Register without successor in title and whose issuer's registrar administrated by CDCP has been terminated in given month shall not be included to securities value in respective month.

## 8. Pledge registry and special registry of transfers as collateral

Item	Service name	Service code	Price in € (w/o VAT)	
8.1	<b>Registration of contractual pledge*</b>	CD - 69	Price according to the table	
	<b>Registration of transfer as collateral **</b>	CD - 70		
	<b>Debt value in €</b>	<b>Unit price in €</b> Basic price + % of debt value		<b>Price for max value of debt in €</b>
	Up to 3 319 000,00	16,50 + 0,0100%		348,40
	3 319 000,01 – 16 596 000,00	348,40 + 0,0075%		1344,17
	16 596 000,01 or more	1 344,17 + 0,0050%		Max 1 659,00
<b>Example of calculation in €</b>				
Value of debt = 16 700 000,00 €				
<b>Price for registration of contractual pledge or transfer as collateral</b> = (16 700 000,00 – 16 596 000,00)/100x0,0050 + 1 344,17 = 1 349,37 €				

\* registration of pledge into the pledge registry or on owner's account pursuant to § 53a of the Act

\*\* registration of transfer as collateral into special registry or on owner's account pursuant to § 53c of the Act.

8.2	<b>Marking a clause "for pledge" on paper-form securities</b>	CD - 71	3,30/security certificate
8.3	<b>Marking a clause "change of pledge" on paper-form securities</b>	CD - 72	3,30/security certificate
8.4	<b>Marking a clause "termination of pledge" on paper-form securities</b>	CD - 73	3,30/security certificate
8.5	<b>Marking a clause on a paper-form collective share (or other collective security)</b>	CD - 74	33,00/collective share
8.6	<b>Registration of change in pledge in the pledge registry</b>	CD - 75	33,00
8.7	<b>Registration of pledge cancellation in the pledge registry</b>	CD - 76	33,00
8.8	<b>Registration of change in pledge pursuant to § 53a of the Act</b>	CD - 77	10,00
8.9	<b>Registration of pledge cancellation pursuant to § 53a of the Act</b>	CD - 78	10,00
8.10	<b>Registration of change in transfer as collateral pursuant to § 53 of the Act</b>	CD - 79	33,00
8.11	<b>Registration of cancellation of transfer as collateral pursuant to § 53 of the Act</b>	CD - 80	33,00
8.12	<b>Registration of change in transfer as collateral pursuant to § 53c of the Act</b>	CD - 81	10,00
8.13	<b>Registration of cancellation of transfer as collateral pursuant to § 53c of the Act</b>	CD - 82	10,00
8.14	<b>Basic fee - article I, paragraph 4</b>	CD - 83	6,60
8.15	<b>Placement of a semi-instruction for change in pledge registered pursuant to § 53a, item (4) of the Act</b>	CD - 102	1,00/semi-instruction
8.16	<b>Placement of a semi-instruction for cancellation of pledge registered pursuant to § 53a, item (4) of the Act</b>	CD - 103	1,00/semi-instruction
8.17	<b>Passing of the pledge registry pursuant to § 12, item (5) of the Act No. 203/2011 coll. (pledge registry)</b>	CD - 87	3 319,00/custodian
8.18	<b>Passing of the registry of transfers as collateral pursuant to § 12, item (5) of the Act No. 203/2011 coll. (registry of transfers as collateral)</b>	CD - 88	3 319,00/custodian
8.19	<b>Repeated passing of registry pursuant to § 12, item (5) of the Act No. 203/2011 Coll. (pledge registry, or registry of transfers as collateral)</b>	CD - 89	6 640,00/custodian

## 9. Services provided to persons pursuant to § 110

Item	Service name	Service code	Price in € (w/o VAT) accordant with costs
9.1	<b>Information services for persons specified in § 110 of the Act</b> For eligible persons who have no right for provision of the service free of charge pursuant to the Act or special legislation.	CD - 86	6,60/service
9.2	<b>Registration/cancellation of registration of suspension of disposal right to book-entry securities based on instruction from government body, or capital market supervisory body, or distrainer.</b>		No fee

9.3	<b>Placement of instruction for registration of creation/change/cancellation of statutory pledge.</b>	No fee
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## 10. Objections, complaints

Item	Service name	Service code	Price in € (w/o VAT)
10.1	<b>Provision of published data pursuant to § 111, section (1) of the Act</b>	CD - 85	132,50/month
10.2	<b>Provision of data specified in item 10.1 to persons to whom the CDCP is obliged to provide the data pursuant to § 111 of the Act</b>		No fee
10.3	<b>Correction in the registry pursuant to § 108 of the Act*</b>	CD - 84	66,00/ per request
10.4	<b>Complaint from a natural person **</b>	CD - 90	3,30/placing of complaint
10.5	<b>Complaint from a legal person **</b>	CD - 91	66,00/placing of complaint

\* Price for every request for an accounting entry crediting or debiting an account kept by CDCP or for change of shareholder of paper-form registered shares in the list of shareholders. The aforementioned provisions shall not apply to the requests submitted to CDCP before coming into effect of this Scale of Fees. The price is paid by the requesting party after recognition of the objection. In case an erroneous entry or incompleteness in the registration has been caused by CDCP's action/inaction, the price pursuant to item 10.3 shall not be charged.

\*\*In case of a justifiable complaint, the price for submission of a proposal to start the proceedings shall be refunded to the requesting entity. Reprographic work, other services

## 11. Services related to activities of LOU for LEI codes assignment

Item	Service name	Service code	Price in € (w/o VAT)
11.1	<b>One-off fee for LEI code assignment*</b>	CD – 124	70,00
11.2	<b>Administration (renewal) of data on entity in the LEI code registry – annual fee**</b>	CD - 125	45,00
11.3	<b>Modification of data on entity in the LEI code registry in course of the year</b>		Free of charge

\* Fee for administration of data on the entity in the LEI code registry for the first year and a licence fee of 20 USD which CDCP is obliged to pay to Global LEI Foundation (GLEIF) are included.

\*\*Administratin fee shall not be refunded in case of transfer of data on the entity from the LEI code registry to other LOU.

## 12. Other services

Item	Service name	Service code	Price in € (w/o VAT)
12.1	<b>Registration / termination of disposal right suspension over securities pursuant to §28 Section 3 Letter I) for claimant with consent to exercise buy-out right</b>	CD – 126	3,30
12.2	<b>Other services of CDCP</b>	Annex 1	

### 13. Communication via SWIFT

Item	Service name	Service code	Price in € (w/o VAT)
13.1	Processing of received or sent SWIFT MT message	CD -127	0,15/message
13.2	SWIFT fee for message MT535 or MT548	CD -128	0,0406 / chargeable unit
13.3	SWIFT fee for domestic message MT544, MT546 and MT599	CD – 129	0,0527 / chargeable unit
13.4	SWIFT fee for international message MT544, MT546 and MT599	CD - 130	0,1766 / chargeable unit

- The sender or recipient of SWIFT MT message is charged a fee for processing of received or sent SWIFT MT message (item 13.1) and the receiver is charged also a price equal to the fee for respective SWIFT message pursuant to valid SWIFT price list (item 13.2 through 13.4).

### 14. Reprographic works

Item	Service name	Service code	Price in € (w/o VAT)
14.1	Reprographic work (photocopy of the issuer's prospectus, copying of faxed pages)	CD – 92	1,00/page
14.2	Reprographic work with certification of a document	CD - 106	20,00/page

## Article III. Transitional and final provisions

1. Coming into effect of this Scale of Fees ends the validity and effectiveness of the Scale of Fees of Centrálny depozitár cenných papierov SR, a.s. as of 1 January 2015.
2. CDCP shall calculate aliquote part of the price or fee for period from 1 January 2015 till 30 June 2015 for administration of owner's account (an account open pursuant to §105 or §164a of the Act), code of service CD – 55, for the second and next client's account of a member or for holder's account of a member, code of service CD – 49 and for administration of holder's account of a non-member, code of service CD – 99, pursuant to the Scale of Fees of CDCP valid and effective until 30 June 2015. CDCP shall decide on date when aliquote part of the price or fee pursuant to previous sentence shall be invoiced.
3. The Scale of Fees is valid upon approval of the Supervisory Board of CDCP on 30 March 2015 and becomes effective on 1 July 2015.

In Bratislava, 30 March 2015

Ing. Martin Wiedermann  
Chairman of the Board of Directors

Ing. Tomáš Novanský  
Member of the Board of Directors



**Annex 1: Other services in registry of CDCP**

<b>Service code</b>	<b>Service name</b>	<b>Unit price in €</b>	<b>Price per data record/ owner of securities/ number of securities in €</b>
D001	Registration of a securities dealer	165,00	
D002	Opening of registry of an issuer of book-entry securities	165,00	
D007	Change in registry of securities dealers	33,00	
D009A	Statement of basic data of an issue	3,30	
D011	Change in registry of members of the central depository	165,00	
D013	Cancellation of registration of securities dealer	33,00	
D017	Cancellation of registration of a member of the central depository	33,00	
D025	Opening of a client account pursuant to §106	331,50	
D027	Registration of authorised person in an owner's account	6,60	
D028	Cancellation of registration of authorised person in an owner's account	3,30	
D040	Account statement for one selected ISIN as of the date of service provision	3,30	
D040A	Account statement pursuant to §105a for one selected ISIN as of the date of service provision	3,30	
D041	Excerpt from the list of shareholders for a shareholder in the part related to him pursuant to §107, sections 9 and 11	66,00	
D043	Account statement for one selected ISIN as of previous date	6,60	
D043A	Account statement pursuant to §105a for one selected ISIN as of previous date	6,60	
D044	Account statement as of the date of service provision	3,30	
D044A	Account statement pursuant to §105a as of date of service provision	3,30	
D045	Account statement as of the previous date	6,60	
D045A	Account statement pursuant to §105a as of the previous date	6,60	
D046A	Account statement with all rights associated with securities as of the date of service provision	6,90	
D046B	Account statement with all rights associated with securities as of the previous date	13,20	
D046C	Retrieval of all statements from all owner's accounts kept in member's registry	165,00	
D046D	Account statement pursuant to §105a with all rights associated with securities as date of service provision	10,00	
D046E	Account statement pursuant to §105a with all rights associated with securities as of the previous date	15,00	
D046F	Retrieval of all statements from all owner's accounts kept in member's registry as of the previous date	165,00	
D047	Account statement pursuant to §106, section 7 as of the date of service provision	66,00	
D048	Account statement pursuant to §106, section 7 as of the previous date	99,50	

<b>Service code</b>	<b>Service name</b>	<b>Unit price in €</b>	<b>Price per data record/ owner of securities/ number of securities in €</b>
D064A	Retrieval of all basic data on all owners in member's registration	165,00	
D065	Retrieval of basic data on an account owner based on entered identification number for CD	1,60	
D069	Detection of the issuer's registration number based on IČO/ birth reg. number.	3,30	
D070	Detection of securities broker's registration number based on IČO	3,30	
D071	Detection of pledgee's registration number based on IČO/ birth registration number	3,30	
D072	Detection of the central depository member's registration number based on IČO	3,30	
D078	Information service – selection of accounting entries in owner's account in CD registry	16,60	0,03/entry
D080	Excerpt from register of pledges pursuant to §47, section 2	3,30	
D080B	Retrieval of all registered pledge agreements pursuant to §47, section 2 of the Act	331,50	
D080C	Retrieval of all registered pledge agreements with extent pursuant to §47, Section 1 of the Act	3,30	
D080L	Information on pledge registered pursuant to §53a of the Act	10,00	
D080T	Compound information on pledge registered pursuant to §45 or §53a of the Act for the National Bank of Slovakia	10,00	
D081	Excerpt from special registry of transfers as collateral pursuant to §53	3,30	
D082	Excerpt from issuer's registry	16,60	
D083	Retrieval of basic data on securities dealer	3,30	
D084	Retrieval of basic data on a central depository member	3,30	
D089	Retrieval of all registered securities issues	3,30	
D091	Retrieval of co-owners of securities pursuant to §105, Section 9	3,30	
D104	Registration – termination of Disposal Right Suspension over securities pursuant to §28, Section 3, item a)	3,30	
D105	Registration – termination of Disposal Right Suspension over securities pursuant to §28, Section 3, item b)	0,33	
D106A	Registration/termination of Disposal Right Suspension over securities pursuant to §28, Section 3, item c)	0,33	
D106B	Registration of Disposal Right suspension over securities pursuant to §28, Section 3, item c) based on termination of Disposal Right Suspension over securities pursuant to item b)	0,33	
D107	Registration/termination of Disposal Right Suspension over securities pursuant to §28, Section 3, item d)	1,60	
D108	Registration/termination of Disposal Right Suspension over securities pursuant to §28, Section 3, item e)	66,00	

<b>Service code</b>	<b>Service name</b>	<b>Unit price in €</b>	<b>Price per data record/ owner of securities/ number of securities in €</b>
D109	Registration/termination of Disposal Right Suspension over securities pursuant to §28, Section 3, item f)	33,00	
D111	Registration/termination of Disposal Right Suspension over securities pursuant to §28, Section 3, item e) by change of issue status	16,60	
D112	Registration/termination of Disposal Right Suspension over securities pursuant to §28, Section 3) item f) by change of issue status	33,00	
D144	Registration of pledge pursuant to §50, Section 3	3,30	
D145	Registration of termination of pledge pursuant to §50, Section 5	3,30	
D179	Detection of client account number based on member's IČO	16,60	
D184	Replacement of securities	331,50	

Annex 2: List of codes and abbreviated names

Service code	Service name in the Scale of Fees	Abbreviated name of service (in Slovak)
CD-01	Postage according to the Scale of Postage Fees of the Slovak Post Office	Poštovné podľa sadzob.pošt. popl. Slov. pošty
CD-02	Assignment of identification code ISIN	Pridelenie identifikačného kódu ISIN
CD-05	Repeated confirmation on ISIN assignment	Opakované potvrdenie o pridelení ISIN
CD-06	ISIN restoration, with last valid changes	Obnovenie ISIN s posl. plat. zmenami
CD-10	Registration of mortgage bonds issue	Reg. emisie hypotekárnych záložných listov
CD-12	Registration of issue of units of unit trust	Reg. emisie PL
CD-13	Registration of an issue of shares and other securities	Reg. emisie akcií a ostatných CP
CD-15	Debiting of securities	Odpísanie CP
CD-16	Change in data on issuer	Zm. údajov o emitentovi
CD-17	Change in data on issue	Zm. údajov v emisii
CD-18	Cancellation of registration of securities issue	Zrušenie registrácie emisie CP
CD-21	List of securities owners in electronic form	Zoznam majiteľov CP v elektron. podobe
CD-22	List of securities owners in electronic form – output in printed form	Zoznam MACP v elektron. podobe a vyhot. v pís. forme
CD-24	Sending of list of owners to an issuer	Zaslanie zoznamu majiteľov emitent.
CD-28	Provision of data from bond issuance conditions	Posk. údajov z emisných podm.dlhopisov
CD-29	Taking over a list of shareholders to the registry if the nominal value of a share is less than or equal to € 331,50	Prevzatie zozn. akcion. do evid., ak je MH akcie menšia alebo rovná 331,50 €
CD-30	Taking over a list of shareholders to the registry if the nominal value of a share is higher than € 331,50	Prevzatie zoznamu akcionárov do evidencie, ak je MH akcie väčšia ako 331,50 €
CD-31	Termination of administration of a list of shareholders	Ukončenie vedenia zoznamu akcionárov
CD-33	Change in number of shares / nominal value of paper-for registered shares	Zmena počtu ks./men. hodnoty LA na meno
CD-34	List of shareholders of paper-form registered shares	Zoznam akcionárov LA na meno
CD-35	Delivery of the list of shareholders of paper-form registered shares	Zaslanie zozn. akcionárov LA na meno
CD-36	Excerpt from the list of shareholders, based on request of shareholder, in part concerning the shareholder in printed form	Výp. zo zozn. akcion. na zákl. žiadosti akcion. v časti, ktorá sa ho týka v LP
CD-37	Excerpt from the list of shareholders, based on request of shareholder, in part concerning the shareholder on file medium.	Výp. zo zozn. akcion. na zákl. žiadosti akcion. v časti, ktorá sa ho týka na techn. nosiči údajov

Service code	Service name in the Scale of Fees	Abbreviated name of service (in Slovak)
CD-38	Excerpt from the list of shareholders as of previous date	Výpis zo zozn. akcion. k spätnému dátumu
CD-42	Change of nominal value and concurrent change in number of securities, whereas registered capital remains unchanged	Zm. MH a súčasne zm. počtu CP pričom ZI sa nemení
CD-43	Deletion of shareholder from the list of shareholders, whose paper-form registered shares were announced void	Výmaz akcion. zo zozn. akcion., ktorého LA na meno boli vyhl. za neplatné
CD-44	Change of shareholder, based on issuer's request, if nominal value of the share is less than or equal to € 331,50	Zápis zm. akcion. na zákl. pokynu emit., ak je MH akcie menšia alebo rovná 331,50 €
CD-45	Change of shareholder, based on issuer's request, if nominal value of the share is higher than € 331,50	Zápis zmeny akcion. na zákl. pokynu emit., ak je MH akcie väčšia ako 331,50 €
CD-46	Settlement/establishment of joint ownership of securities	Vysporiadanie/zriadenie bezpodielového spoluvlastníctva k cenným papierom
CD-47	Settlement/establishment of co-ownership of securities	Vysporiadanie/zriadenie podielového spoluvlastníctva k cenným papierom
CD-48	Use of software applications and utilization of hardware by members of CDCP	Používanie APV a využívanie HW členmi CDCP
CD-49	Second and additional member's client account or holder's account of a member	Druhý a nasledujúce klientské účty člena alebo za držiteľský účet člena
CD-52	Training on CDCP systems operation	Školenie na činnosť so systémami CDCP
CD-53	Installation of CDCP systems outside the Slovak Republic	Inštalácia systémov CDCP mimo územ. SR
CD-54	Service work on CDCP systems at client's site outside the Slovak Republic	Servisné práce na systémoch CDCP u klienta mimo územ. SR
CD-55	Administration of owner's account	Vedenie účtu majiteľa
CD-57	Transfer of securities, excluding units of the unit trust	Prevod CP okrem podielových listov
CD-58	Transfer of units of the unit trust	Prevod podielových listov
CD-60	Semi-instruction for transfer or transition of securities	Polpríkaz na prevod alebo prechod CP
CD-62	Placement of semi-order for securities transfer directly to CDCP	Podanie polpríkazu na prevod CP priamo CDCP
CD-63	Clearing of instruction for settlement of exchange trade	Zúčtovanie prík. na vyrovnanie burz. obchodu
CD-64	Clearing of instruction for settlement of non-exchange trade	Zúčtovanie prík. na vyrovnanie mimoburz. obchodu
CD-65	Registration of disposal right creation in an owner's account	Zareg. vzniku PN na účte majiteľa
CD-66	Registration of change in disposal right in an owner's account	Zareg. zmeny PN na účte majiteľa
CD-67	Registration of disposal right cancellation in an owner's account	Zareg. zániku PN na účte majiteľa
CD-68	Securities transition between other than natural persons	Prechod CP medzi osob. inými ako fyzickými
CD-69	Registration of contractual pledge	Registrácia zmluvného ZaP
CD-70	Registration of transfer as collateral	Registrácia ZabP
CD-71	Marking a clause "for pledge" on paper-form securities	Vyznačenie doložky „na založenie" LCP
CD-72	Marking a clause "change of pledge" on paper-form securities	Vyznačenie doložky „zmena záložného práva" na LCP

Service code	Service name in the Scale of Fees	Abbreviated name of service (in Slovak)
CD-73	Marking a clause "termination of pledge" on paper-form securities	Vyznačenie doložky „zánik záložného práva" na LCP
CD-74	Marking a clause on a paper-form collective share	Vyznačenie doložky na listinnej hromadnej akcii
CD-75	Registration of change in pledge in the pledge registry	Zareg. zmeny ZaP v RZP podľa § 46 zák.
CD-76	Registration of pledge cancellation in the pledge registry	Zareg. zániku ZaP v RZP podľa § 46 zák.
CD-77	Registration of change in pledge pursuant to § 53a of the Act	Zaregistrovanie zmeny ZaP podľa § 53a zák.
CD-78	Registration of pledge cancellation pursuant to § 53a of the Act	Zareg. zániku ZaP podľa § 53a zák.
CD-79	Registration of change in transfer as collateral pursuant to § 53 of the Act	Zaregistrovanie zmeny ZabP podľa § 53 zák.
CD-80	Registration of cancellation of transfer as collateral pursuant to § 53 of the Act	Zareg. zániku ZabP podľa § 53 zák.
CD-81	Registration of change in transfer as collateral pursuant to § 53c of the Act	Zareg. zmeny ZabP podľa § 53c zák.
CD-82	Registration of cancellation of transfer as collateral pursuant to § 53c of the Act	Zareg. zániku ZabP podľa § 53c zák.
CD-83	Basic fee	Základný poplatok
CD-84	Request for correction in the registry pursuant to § 108 of the Act	Požiadavka na opravu v evidencii podľa § 108 zák.
CD-85	Provision of data pursuant to § 111, Sections (1) and (3) of the Act	Poskytnutie údajov v zmysle § 111 ods. (1) a (3) zák.
CD-86	Information services for persons specified in § 110 of the Act	Informačné sl. pre osoby uvedené v § 110 zák.
CD-90	Complaint from a natural person	Reklamácia fyzickej osoby
CD-91	Complaint from a legal person	Reklamácia právnickej osoby
CD-92	Reprographic work	Reprografické práce
CD-96	List of securities owners owning 5% or more of all securities issues admitted to regulated market	Zoz. maj. CP 5 % a viac všet. emisí
CD-97	List of securities owners of a single issue owning 5% or more of the issue admitted to regulated market	Zoz. maj. CP 5 % a viac jednej emisie
CD-98	Opening a holder's account for a non-member/Opening next holder's account for a non-member	Založ. držiteľského účtu nečl./založ. každého držiteľ. účtu nečlena
CD-99	Annual fee for administration of holder's account of a non-member	Roč. popl. za vedenie drž. účtu nečlena
CD-101	Transfer of units of unit trust, directly in CDCP	Prevod PL priamo v CDCP
CD-102	Semi-order for change in pledge registered pursuant to § 53a, Sec. (4) of the Act	Polpríkaz na zmenu ZP podľa § 53a ods. 4 zák.
CD-103	Semi-order for cancellation of pledge registered pursuant to § 53a, Sec. (4) of the Act	Polpríkaz na zánik ZP podľa § 53a ods. 4 zák.
CD-105	Change of the nominal value and concurrent change in number of units of book-entry securities, when value of the securities issue is the same	Zmena MH a súčasne zmena počtu zakn. CP, objem emisie sa nemení
CD-106	Reprographic work with certification of a document	Reprograf. práce s úrad. overením
CD-107	Termination of administration of the list of shareholders – issuer's notice of cancellation	Ukončenie ved. zozn. akc. výpoveďou emitenta
CD-108	Instruction for securities transfer in Centrální depozitář cenných papírů, a.s	Príkaz na prev. CP v CDCP, a.s. ČR

Service code	Service name in the Scale of Fees	Abbreviated name of service (in Slovak)
CD-109	Administration of the list of shareholders for one calendar year	Vedenie zoznamu akcionárov za kalendár. rok
CD-110	Administration of the list of shareholders for period equal or shorter than 6 calendar months	Vedenie zozn. akcion. za obdobie rovné alebo menšie ako 6 kalendár. mesiacov
CD-111	Transfer of donated securities between natural persons	Prevod CP darovaním medzi FO
CD-112	Entry fee for a member	Vstupný poplatok člena
CD-113	Registration of government bonds issue	Registrácia emisie štátnych dlhopisov
CD-114	Registration of treasury bills issue	Registrácia emisie pokladničných poukážok
CD-115	Registration of bond issue to the issuer's registry	Registrácia emisie dlhopisov do registra emitenta
CD-116	Price for issuance of bonds per month	Cena za vydanie dlhopisov za mesiac
CD-117	Change of currency from SKK to € for book-entry securities – number of units is not changed	Zmena meny z SKK na € pri ZCP v príp., že sa počet ks nemení
CD-118	Registration of issue of other bonds and investments certificates	Registrácia emisie ostat. dlh. a IC.
CD-119	Change of currency from SKK to € in lists of shareholders of paper-form registered shares	Zmena meny z SKK na € v zozn.akcion. LA na meno
CD-120	Cancellation of identification code ISIN	Rušenie ISIN
CD-121	Change of particulars in the identification code ISIN	Zmena náležitostí v ISIN
CD-122	Assignment of ISIN code to issues with prefix „CS“ and „88“	Pridel. kódu ISIN pre emisie s prefixom „CS“ a „88“
CD-123	Other services related to CDCP activities	Ostat. služby súvis. s predm. činnosti CDCP
CD-124	One-off fee for LEI code assignment	Jednorazový poplatok za pridelenie kódu LEI
CD-125	Administration (renewal) of data on entity in the LEI code registry – annual fee	Vedenie (obnovenie) údajov o subjekte v evidencii kódov LEI za rok
CD-126	Registration / termination of disposal right suspension over securities pursuant to §28 Section 3 Letter I) for claimant with consent to exercise buy-out right	Zaregist./zánik PPN s CP pre navrhov. so súhl. na uplatn. práva výkupu
CD-127	Processing of received or sent SWIFT MT message	Spracovanie prijatej alebo odoslanej SWIFT MT správy
CD-128	SWIFT fee for message MT535 and MT548	SWIFT poplatok za správu MT535 a MT548
CD-129	SWIFT fee for domestic message MT544, MT546 and MT599	SWIFT poplatok za domácu správu MT544, MT546 a MT599
CD-130	SWIFT fee for international message MT544, MT546 and MT599	SWIFT poplatok za medzinárodnú správu MT544, MT546 a MT599
CD-133	Transition of security	Prechod CP
CD – 134	Administration of owner's account for a member	Vedenie účtu majiteľa člena
CD – 135	Administration of client's account for a member	Vedenie klientského účtu člena
CD – 136	Administration of holder's account for a member	Vedenie držiteľského účtu člena
CD – 137	Administration of holder's account for legal persons other than a member	Vedenie držiteľského účtu pre práv. osoby iné ako člen
CD - 138	Administration of owner's account for natural and legal persons other than a CDCP member	Vedenie účtu majiteľa pre fyz. a práv. osoby iné ako člen

## Abbreviations used in Annex 2

- PL- units of a unit trust
- zakn. – book-entry
- MACP – securities owner
- CP - security
- Reg. - registry
- Emit. - issuer
- Zm. - change
- LA- paper-form securities
- Akcion.- shareholder
- Dlhp. - bond
- ZaV – clearing and settlement
- Dok. - document
- Evid. - registry
- Zák. - Act
- MH – nominal value
- Sl. - service
- Zareg. – registration
- PN – disposal right
- ZI – registered capital
- RZP – pledge register
- ZaP - pledge
- ZabP- transfer as collateral
- Osob. – special
- APV – SW applications
- HW – hardware
- LP – in printed form