# FORM F6

# INSTRUCION TO REGISTER TRANSFER OF BOOK-ENTRY SECURITIES WITHOUT FINANCIAL SETTLEMENT (FOP)

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| ***CDCP shall fill in this table after provision the service*** |
| *Service code* | *SESE.023* |
| *Date of processing* |  |

Instruction for registration of transfer is placed by:

[ ]  **TRANSFEROR** (Prevodca)

[ ]  **TRANSFEREE** (Nadobúdateľ)

# CLIENT DETAILS

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| 1. **Identification details of TRANSFEROR**
 |
| Trade name / Title, Name and Surname | Kliknutím zadáte text. |
| Registered office / permanent address[[1]](#endnote-1) | Kliknutím zadáte text. |
| The address of the actual place of business, if different from the registered office address | Kliknutím zadáte text. |
| Company ID/FRN/NIČ/Birth Number | Kliknutím zadáte text. |
| Tax ID[[2]](#endnote-2) | Kliknutím zadáte text. |
| VAT ID | Kliknutím zadáte text. |

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| 1. **Identification details of TRANSFEREE**
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| Trade name / Title, Name and Surname | Kliknutím zadáte text. |
| Registered office / permanent address[[3]](#endnote-3) | Kliknutím zadáte text. |
| The address of the actual place of business, if different from the registered office address | Kliknutím zadáte text. |
| Company ID/FRN/NIČ/Birth Number | Kliknutím zadáte text. |
| Tax ID[[4]](#endnote-4) | Kliknutím zadáte text. |
| VAT ID | Kliknutím zadáte text. |

# ADDITIONAL DETAILS

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| 1. **Identification of an account of TRANSFEROR**
 |
| Account number | Kliknutím zadáte text. |
| Name and BIC of entity that is administering the account[[5]](#endnote-5) | Kliknutím zadáte text. |
| Name and BIC of entity that is making entries in the account | Kliknutím zadáte text. |

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| 1. **Identification of an account of TRANSFEREE**
 |
| Account number | Kliknutím zadáte text. |
| Name and BIC of entity that is administering the account [[6]](#endnote-6) | Kliknutím zadáte text. |
| Name and BIC of entity that is making entries in the account | Kliknutím zadáte text. |

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| 1. **Specification of securities that are subject to transfer**
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| ISIN | Kliknutím zadáte text. |
| Number of units / value | Kliknutím zadáte text. |
| Transferability of securities | 1) Vyberte položku. 2) Vyberte položku.  |

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| 1. **Additional information on transfer**
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| Legal reason for transfer[[7]](#endnote-7) | Vyberte položku. |
| Identification of substantive law over securities in question | [ ]  Securities in question ARE NOT subject to pledge, transfer as collateral, registered right to require transfer of SJC shares neither of registered right to join a transfer of SJC shares. |
| [ ]  Securities in question ARE subject to:Vyberte položku. - Reg. No.: Kliknutím zadáte text. |
| [ ]  Securities in question ARE subject to registered rightVyberte položku. - Reg. No. of right: **Kliknutím zadáte text.** |
| Requirement of previous consent to transfer according §23, sec. 2 of Act 566/2001 Coll[[8]](#endnote-8) | [ ]  Previous consent not required [ ]  Previous consent is required and is attached to the instruction[ ]  Previous consent is required and isn’t attached to the instruction  |
| Total value of transfer in euro (€) | Kliknutím zadáte text. |
| Trade date[[9]](#endnote-9) | Kliknutím zadáte text. |
| Settlement date[[10]](#endnote-10) | Kliknutím zadáte text. |

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| 1. **Method of collection of service output**
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| If the instruction is delivered in person to CDCP operation workplace | CDCP shall process required service immediately and hands over the service output to the client  |
| If the instruction is delivered via post or in person to CDCP mail room | CDCP shall process required service and sends the service output via post |

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| 1. **Attached documents**
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| Number of attached documents  | Kliknutím zadáte text. |
| List of attached documents | Kliknutím zadáte text. |

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| 1. **Notes (e.g. common identification of unmatched instructions)**
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| Kliknutím zadáte text. |

# DECLARATIONS AND CONSENTS OF THE CLIENT

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| * By signing this form, the client declares and at the same time is liable for the data in this form to be complete, true and correct.
* By signing this form, the client declares that he/she has read the Rules of Operation and the Schedule of fees of Centrálny depozitár cenných papierov SR, a.s. and that he/she agrees to follow their provisions.
* By signing this form, the client acknowledges that Centrálny depozitár cenných papierov SR, a.s. will process his/her personal data in compliance with Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC and with Act No. 18/2018 Coll. On protection of personal data and on amendments and supplements to some acts. More information on processing of personal data of client are published on the internet page www.cdcp.sk in section Personal data security: **https://www.cdcp.sk/en/personal-data-security/**
* By signing this form the client acknowledges that Centrálny depozitár cenných papierov SR, a.s. as an obliged person pursuant to article 5, section 1 (b)(1.) of the Act No.297/2008 Coll. has the obligation to process personal data for the purpose of prevention and detection of legalization of proceeds of criminal activity and terrorist financing, and that, at the same time, it is authorised for the purpose of customer due diligence and for the purpose of detection of unusual business operations pursuant to article 14 of the Act No.297/2008 Coll., even without the consent of the persons concerned, to identify, obtain, record, retain, use and otherwise process personal data and other data within the scope pursuant to article 10(1), article 11(3) and articles 12(1) and (2) of the Act No.297/2008 Coll.; while doing so, Centrálny depozitár cenných papierov SR, a.s. is entitled to obtain personal data necessary for reaching the purpose of processing by copying, scanning or other recording of official documents to information carrier and to process birth numbers and other data and documents without the consent of person concerned within the scope pursuant to article 10(1), article 11(3) and article 12(1) and (2) of the Act No.297/2008 Coll.
* By signing this form, the client declares that the registration of transfer of book-entry securities according to this form shall not violate international sanction.[[11]](#endnote-11)
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| **Date** Kliknutím zadáte text. |  | **Date** Kliknutím zadáte text. |
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| **Signature of TRANSFEROR**  |  | **Signature of TRANSFEREE**  |
| ***Full name:*** *Kliknutím zadáte text.* |  | ***Full name:*** *Kliknutím zadáte text.* |
| ***Phone/email:*** *Kliknutím zadáte text.*  |  | ***Phone/email:*** *Kliknutím zadáte text.*  |
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|  | **In Bratislava, On** |  |
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|  | **CDCP stamp and signature of CDCP employee** |  |
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| **The client confirms takeover of service output in the seat of CDCP on** |
|  |  |  |
| **Signature of TRANSFEROR**  |  | **Signature of TRANSFEREE**  |
| ***Full name:*** *Kliknutím zadáte text.* |  | ***Full name:*** *Kliknutím zadáte text.* |

***INFORMATION OF THE CLIENT:***

*Placement of requests and instructions for services and their required attachments is governed by the Rules of Operation of Centrálny depozitár cenných papierov SR, a.s. (further only „the Rules of Operation“).*

*It is possible to place the form duly filled in compliance with explanations included therein in* ***person*** *in the seat of CDCP, or* ***by mail*** *to the address of the CDCP’s registered office, unless the Rules of Operation states differently.*

***Signature of the client (of persons authorised to act on behalf of the client) must be officially verified if the instruction is sent via post to CDCP address or submitted in person to CDCP mail room.***

*Provision of service in question od* ***charged*** *according to the Scale of Fees of CDCP.*

*This form can be signed on behalf of the client –* ***legal person*** *by representatives of the statutory body in compliance with a form of acting stipulated in the Companies Register or other records set by the regulation in which the client – legal person is registered (further only „official register“) OR by a person authorised to sign this form on behalf of the client – legal person on basis of the valid Power of Attorney. The client shall demonstrate the authorisation to act on behalf of a client – legal person, or to sign this form in compliance with the Rules of Operation as follows:*

*in case the form is signed by representatives of the* ***statutory body*** *of the client – legal person, it is necessary to submit to CDCP also the original or certified copy of excerpt from the Companies Register in which the client is registered as a legal person, that is not older than* ***3 months*** *(in case of a foreign legal person not older than* ***6 months****) on the day when representatives of the statutory body signed the form and also on the day when this service is provided,*

*in case the form is signed by an* ***authorised person****, it is necessary to submit to CDCP also the original or a certified copy of the Power of Attorney with officially certified signature of a donor of the power and the original or a certified copy of an excerpt from the Companies Register in which the client is registered as a legal person, that is not older than* ***3 months*** *(in case of a foreign legal person not older than* ***6 months****) on the day when representatives of the statutory body signed the Power of Attorney and on the day when this form is signed.*

*This form may be signed on behalf of a client -* ***natural person*** *by*

*directly by that natural person OR*

*a person authorised to sign this form on behalf of the client-natural person, on the basis of a valid power of attorney. In this case, the original or an officially certified copy of the power of attorney with the officially certified signature of the principal must also be submitted to the CDCP.*

*The client is obliged to attach this form with all documents required according to the Rules of Operation and relevant regulations.*

***Enclosures to the instruction are primarily:***

***- consent of the issuer to transfer securities (with verified signature of the issuer) in case of restricted negotiability of the securities in question***

***- binding written statement of the transferee on ownership of the financial means used for conclusion of trade in case value of trade is at least 15 000 euro pursuant to § 99 section 15 of the Act No. 566/2001 Coll.***

***- valid decision of relevant authority on granting previous consent pursuant to § 23 section 2 of the act No. 566/2001 Coll.***

***- valid decision on inheritance in case the securities are to be transferred directly from an account of a benefactor according to § 18b of the Act No. 566/2001 Coll.***

*Documents issued by an authority/office of a foreign state as well as the legalisation of signatures (i.e. the certification of the authenticity of the signature) and the vidimation of documents (i.e. the certification of a copy of the document) which have been drawn up abroad must also be accompanied by the relevant verification clauses (within the meaning of international treaties, the Hague Convention on the Abolition of the Requirement of a Higher Attestation of Foreign Authentication of Authentic Instruments, or the super-legalization procedures).*

***All documents submitted must always be originals or certified copies. A legalisation or vidimation clause, deed, Apostille or super-legalisation clause issued in a language other than Slovak or Czech must be officially translated into Slovak by a Slovak official translator.***

**Explanatory notes:**

1. Fill in the address – street, number of building, postal code, town, country. [↑](#endnote-ref-1)
2. Tax ID assigned by relevant authority in a country of tax residence. [↑](#endnote-ref-2)
3. Fill in the address – street, number of building, postal code, town, country. [↑](#endnote-ref-3)
4. Tax ID assigned by relevant authority in a country of tax residence. [↑](#endnote-ref-4)
5. Fill in BIC code of entity (CDCP/member/other CSD), in which registry is the account administered. [↑](#endnote-ref-5)
6. Fill in BIC code of entity (CDCP/member/other CSD), in which registry is the account administered. [↑](#endnote-ref-6)
7. Select one legal reason of transfer. [↑](#endnote-ref-7)
8. Select one option. [↑](#endnote-ref-8)
9. Date of the trade must not be

Later than the settlement date,

Later than the date of the service provision,

Saturday or Sunday.

In the event of an invalid date or failure to provide a date, the CDCP will consider the date of the trade to be the date of service. [↑](#endnote-ref-9)
10. Indicate the date on which the transfer of securities is to take place. The settlement date must not be a Saturday or Sunday. If an invalid date is given or no date is specified, the CSD will consider the settlement date to be the date of provision of the service. [↑](#endnote-ref-10)
11. The international sanction means restriction, order or prohibition contained in the international sanction regulation. [↑](#endnote-ref-11)