



**CENTRÁLNY DEPOZITÁR CENNÝCH PAPIEROV SR, a.s.**

**ROČNÁ ŠTATISTIKA**

**Annual Statistics**

**Rok 2019 / Year 2019**

OBJEM A POČET PREVODOV SPOLU		
Value and Number of Transfers Total	2019	2018
POČET PREVÁDZKOVÝCH DNÍ/Number of Operation days	256	255
CELKOVÝ OBJEM V EUR /Total Value in EUR	34 486 297 660	39 773 927 281
PRIEMERNÝ DENNÝ OBJEM V EUR / Average Daily Value in EUR	134 712 100	155 976 185
POČET PREVODOV / Number of Transfers	31 494	20 346
PRIEMERNÝ DENNÝ POČET PREVODOV / Average Daily Number of Transfers	123	80

OBJEM A POČET PREVODOV S FINANČNÝM VYROVNANÍM		
Value and Number of DvP Transfers	2019	2018
CELKOVÝ OBJEM V EUR - Trhová hodnota /Total Value in EUR - Market Value	8 183 832 325	9 862 970 205
PRIEMERNÝ DENNÝ OBJEM V EUR /Average Daily Value in EUR	31 968 095	38 678 315
POČET PREVODOV /Number of Transfers	9 020	12 439
PRIEMERNÝ DENNÝ POČET PREVODOV /Average Daily Number of Transfers	35	49

OBJEM A POČET PREVODOV BEZ FINANČNÉHO VYROVNANIA		
Value and Number of FoP Transfers	2019	2018
CELKOVÝ OBJEM V EUR - Nominálna hodnota Total Value in EUR - Nominal Value	26 302 465 335	29 910 957 076
PRIEMERNÝ DENNÝ OBJEM V EUR/Average Daily Value in EUR	102 744 005	117 297 871
POČET PREVODOV/Number of Transfers	22 474	7 907
PRIEMERNÝ DENNÝ POČET PREVODOV/Average Daily Number of Transfers	88	31

OBJEM ZAKNIHOVANÝCH CP V MENOVITEJ HODNOTE - STAV KU KONCU ROKA			
Nominal Value of Book-entry Securities - as of End of the Year	2019	2018	2017
OBJEM SPOLU V EUR /Value Total in EUR	85 354 330 405	82 700 325 102	80 992 133 802
AKCIE /Shares	36 923 414 551	37 079 139 415	37 355 964 418
z toho: nekonvertované akcie / out of that: non-converted shares*	1 623 816 236	1 779 398 457	1 852 456 513
DLHOPISY /Bonds	48 038 931 173	44 245 679 156	43 356 836 963
PODIELOVÉ LISTY /Units	3 349 864	3 349 864	3 349 864
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	87 248 944	93 210 376	98 304 468
INÉ /Others	301 385 873	1 278 946 291	177 678 089

\* emisie v menovitej hodnote v SKK prepočítané pre informáciu konverzným kurzom / issues in SKK converted to EUR for information purpose at a conversion rate

OBJEM A POČET PREVODOV SPOLU - 2019						
Value and Number of Transfers - Total in 2019						
	PREVODY DvP - TRHOVÁ HODNOTA		PREVODY FoP - NOMINÁLNA HODNOTA		PREVODY SPOLU	
	DvP Transfers - Market Value		FoP Transfers - Nominal Value		Transfers Total	
	OBJEM V EUR Value in EUR	POČET No.of Transfers	OBJEM V EUR Value in EUR	POČET No.of Transfers	OBJEM V EUR Value in EUR	POČET No.of Transfers
01.2019	404 548 273	789	2 284 353 984	467	2 688 902 257	1 256
02.2019	521 019 460	840	1 742 547 084	689	2 263 566 544	1 529
03. 2019	786 568 548	970	1 857 520 630	703	2 644 089 178	1 673
04.2019	1 798 148 776	682	1 726 828 008	497	3 524 976 784	1 179
05.2019	395 269 732	696	2 563 381 716	709	2 958 651 448	1 405
06.2019	900 350 786	675	3 597 723 546	1 211	4 498 074 332	1 886
07.2019	596 039 025	735	2 262 952 140	643	2 858 991 165	1 378
08.2019	584 541 073	744	1 078 789 885	509	1 663 330 958	1 253
09.2019	556 506 662	605	2 111 718 819	597	2 668 225 481	1 202
10.2019	402 441 919	957	2 941 892 786	548	3 344 334 705	1 505
11.2019	210 406 972	700	2 331 489 805	576	2 541 896 777	1 276
12.2019	1 027 991 098	627	1 803 266 932	15 325	2 831 258 030	15 952
<b>SUM</b>	<b>8 183 832 325</b>	<b>9 020</b>	<b>26 302 465 335</b>	<b>22 474</b>	<b>34 486 297 660</b>	<b>31 494</b>

**REGISTRE EMITENTA A ZOZNAMY AKCIONÁROV**

Issuer's Registers and Lists of Shareholders

	2019	2018	2017
POČET EMITENTOV ZAKNIHOVANÝCH CP, KTORÝM CDCP VEDIE REGISTER EMITENTA /Number of Issuers for whom the CDCP administers the issuer's register	1 426	1 461	1 475
POČET EMITENTOV LISTINNÝCH AKCIÍ NA MENO, KTORÝM CDCP VEDIE ZOZNAM AKCIONÁROV /Number of Issuers of registered paper shares for whom the CDCP administers the List of Shareholders	3 681	3 652	3 633

**NOVÉ EMISIE ZAKNIHOVANÝCH CP VYDANÝCH V CDCP - MENOVIÁ HODNOTA**

New Issues of Book-entry Securities issued in CDCP - Nominal Value

	OBJEM V EUR Value in EUR	POČET EMISÍÍ No. of Issues
<b>OBJEM SPOLU / Value Total</b>	<b>6 822 643 526</b>	<b>153</b>
AKCIE / Shares	55 544 906	22
DLHOPISY / Bonds	6 718 782 971	109
PODIELOVÉ LISTY / Units	0	0
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	0	0
INÉ / Others	48 315 649	22

**ZRUŠENÉ EMISIE ZAKNIHOVANÝCH CP VRÁTANE EMISÍÍ, PRI KTORÝCH DOŠLO KU ZMENE PODOBY NA LISTINNÉ - MENOVIÁ HODNOTA**

Canceled Issues of Book-entry Securities including issues with change of form to paper securities - Face Value

	OBJEM V EUR Value in EUR	POČET EMISÍÍ No. of Issues
<b>OBJEM SPOLU / Value Total</b>	<b>5 114 341 455</b>	<b>201</b>
AKCIE / Shares	238 188 435	55
DLHOPISY / Bonds	3 837 536 069	109
PODIELOVÉ LISTY / Units	0	0
DRUŽSTEVNÉ PODIELNÍCKE LISTY / Co-operative Units	12 289 319	16
INÉ / Others	1 026 327 632	21

**EMISIE VYMAZANÉ Z REGISTRA EMITENTA NA ZÁKLADE ÚDAJOV Z ELEKTRONICKÉHO OBCHODNÉHO REGISTRA**

Issues deleted from the issuer's registration on the basis of data from electronic companies register

	2019
POČET EMISÍÍ /Number of issues	36

# COMMENTS ON ANNUAL STATISTICS 2019

## Processing of Transfer Orders

In 2019, the central depository executed DvP transfers of securities in the total market value of 8 183 millions euro. In terms of the number of instructions, the central depository processed a total of 9 020 instructions in its settlement system. The average daily value of securities settled in the CDCP system as DVP transfers reached 31.97 millions euro, and the daily number of these transfer instructions averaged at 35 instructions. Compared to situation in the year 2018, the total value of securities transferred as DVP decreased by 17.02 %, which makes a year-on-year decrease by 1 679 millions euro. The number of transfer orders processed decreased by 3 419 orders in comparison to the year 2018 (i.e. decreased by 27.49 %).

**Delivery versus  
Payment  
Transfers (DvP)**

The value of securities transferred in 2019 as FoP transfers, expressed in the nominal value, amounted to 26 302 million euro and the average daily value of these transfers amounted to 102.7 million euro. In total 22 474 instructions for FoP transfer of securities was processed in the year 2019 and the average daily number of the processed orders was 88. Compared to the previous year, the value of FoP transfers decreased by 3 608 million euro, which represents a year-on-year fall by 12.06 %. In comparison to the year 2018, the number of FoP transfer instructions increased by 14 567 instructions, which represents a year-on-year increase by 184.23 %.

**Free of  
Payment  
Transfers (FoP)**

## Value of Securities in CDCP's Register

As of 31 December 2019 the book-entry securities with the total nominal value of 85 354 millions euro were held in the owners' accounts, clients' accounts and holders' accounts. The highest share of that value was represented by bonds in the total value of 48 038 million euro. The total value of shares registered in the accounts was 36 923 million euro and the value of other securities, which include also issues of government treasury bills, reached total value of 301 million euro. Total number of issues of book-entry shares issued in CDCP as of 31 December 2019 amounted to 1 735 issues. The number of issues of bonds was 555, and 231 issues of co-operative units were registered. The number and value of issues of book-entry units of the unit trust did not change in the course of the year 2019 - 16 issues with the total value of 3.35 million euro were registered. In the category of other securities was registered 33 issues at the end of December 2019.

**Year-end  
Balance in  
Owner's  
Accounts**

The total value of book-entry securities issued in CDCP increased by 3.21 % compared to the previous year, and the increase expressed in the nominal value equals 2 654 million euro.

In the year 2019, the biggest decrease was recorded in value of other securities which fell by 978 million euro. Only the nominal value of bonds raised by 3 793 million euro. Value of issues of book-entry shares decreased by 156 million euro and the value of co-operative units fell by 5.96 million euro. In 2019 the units of the unit trust did not show any change compared to the previous year and their value was 3.35 million euro.

In 2019, in CDCP the total of 153 new issues of book-entry securities with the total nominal value of 6 822 million euro were issued. As of the end of the year in question, out of these issues the securities with the value of 5 098 million euro were credited to the accounts. The highest increase in the value of new securities was recorded in bonds, i.e. in the amount of 6 718 million euro. It was followed by new issues from category of shares in the value of 55.54 million euro and the category of other securities amounted to 48.32 million euro. In 2019, 22 new issues of shares, 109 issues of bonds and 22 issues from group of other securities were issued whereas this year neither of new issues in this category were treasury bills. Not a single issue of book-entry units of unit trust or co-operative units was issued in the year 2019.

**New Issues  
issued in the  
course of the  
year**

In the course of 2019, securities with the nominal value of 5 114 million euro were cancelled in issuer's register of book-entry securities. The cancelled securities comprised of 201 issues in total, and out of this number, 36 issues were deleted from the issuer's register based on data from the electronical commercial register. The largest decline occurred in bonds where 109 issues were cancelled with the total value of 3 837 million euro. This was followed by 55 issues of shares with the value of 238 million euro, 21 cancelled issues of other securities with the value of 1 026 million euro and, at last, co-operative units with the value of 12.3 million which amounted to 16 issues. No issue of units of unit trust was cancelled in 2019.

**Issues  
Cancelled in the  
course of the  
Year**

### **Issuer's Registers, Lists of Shareholders and other services**

As of 31 December 2019, CDCP kept issuer's register for 1 426 issuers of book-entry securities and in a special registry is registered issue for one foreign issuer. Out of the mentioned number of issues issued by local issuers at least one security was credited to the owner's account, client account or holder's account. The number of issuers for whom CDCP administered an issuer's register decreased by 35 (-2.40 % y-o-y) compared to 2018.

**Issuer's  
Registers  
Administration**

In 2019, the central depository administered a list of shareholders for 3 681 issuers of paper-form shares, which represents an increase by 29 issuers (increase by 0.79 % y-o-y) compared to the previous year.

**Issuers of  
Physical Shares**

In the year 2019, CDCP handled a total of 16 595 written requests concerning birth registration number or Company ID submitted by authorised persons pursuant to the Article 110 of Act No.566/2001 Coll. on Securities and Investment Services as amended. This figure involves 4 747 requests placed by executors, 6 224 request by the courts and 958 requests were submitted by tax and customs offices to which the services are provided free of charge. Compared to 2018, CDCP handled 3 761 requests more which makes a year-on-year increase by 29.30 %.

**Services based  
on written  
request**

In the year 2019, CDCP also handled a total of 19 781 written requests submitted by natural persons and legal entities for the services such as change in owner, statement of account or change in personal data and the like.